

1 **Gene amplification on demand accelerates cellobiose utilization in engineered**

2 *Saccharomyces cerevisiae*

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25

26 **Abstract**

27 Efficient microbial utilization of cellulosic sugars is essential for the economic
28 production of biofuels and chemicals. Although *Saccharomyces cerevisiae* is a robust
29 microbial platform widely used in ethanol plants using sugar cane and corn starch in large-
30 scale operations, glucose repression is one of the significant barriers to the efficient
31 fermentation of cellulosic sugar mixtures. A recent study demonstrated that intracellular
32 utilization of cellobiose by engineered yeast expressing a cellobiose transporter (*cdt-1*) and an
33 intracellular β -glucosidase (*ghl-1*) can alleviate glucose repression, resulting in simultaneous
34 co-fermentation of cellobiose and non-glucose sugars. Here we report enhanced cellobiose
35 fermentation by engineered yeast expressing *cdt-1* and *ghl-1* through laboratory evolution.
36 When *cdt-1* and *ghl-1* were integrated into the genome of yeast, the single copy integrant
37 showed a slow cellobiose consumption rate. However, cellobiose fermentation rates by
38 engineered yeast increased gradually during serial subcultures on cellobiose. Finally, an
39 evolved strain exhibited a 15-fold higher cellobiose fermentation rate. To identify responsible
40 mutations in the evolved strain, genome sequencing was performed. Interestingly, no
41 mutations affecting cellobiose fermentation were identified, but the evolved strain contained
42 nine copies of *cdt-1* and 23 copies of *ghl-1*. We also traced the copy numbers of *cdt-1* and
43 *ghl-1* of mixed populations during the serial subcultures. The copy numbers of *cdt-1* and
44 *ghl-1* in the cultures increased gradually with similar ratios as cellobiose fermentation rates
45 of the cultures increased. These results suggest that the cellobiose assimilation pathway
46 (transport and hydrolysis) might be a rate-limiting step in engineered yeast, and copies of
47 genes coding for metabolic enzymes might be amplified in yeast if there is a growth
48 advantage. This study presents that on-demand gene amplification might be an efficient
49 strategy for yeast metabolic engineering.

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51 **Importance**

52 In order to enable rapid and efficient fermentation of cellulosic hydrolysates by
53 engineered yeast, we delve into the limiting factors of cellobiose fermentation by engineered
54 yeast expressing a cellobiose transporter (*cdt-1*) and an intracellular β -glucosidase (*ghl-1*).
55 Through laboratory evolution, we isolate mutant strains capable of fermenting cellobiose
56 much faster than a parental strain. Genome sequencing of the fast cellobiose-fermenting
57 mutant reveals that there are massive amplifications of *cdt-1* and *ghl-1* in the yeast genome.
58 We also find positive and quantitative relationships between the rates of cellobiose
59 consumption and the copy numbers of *cdt-1* and *ghl-1* in the evolved strains. Our results
60 suggest that the cellobiose assimilation pathway (transport and hydrolysis) might be a rate-
61 limiting step for efficient cellobiose fermentation. We demonstrate not only the feasibility of
62 optimizing heterologous metabolic pathways in yeast through laboratory evolution, but also
63 on-demand gene amplification in yeast which can be broadly applicable for metabolic
64 engineering.

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76 Introduction

77 The efficient utilization of sugars prevalent in cellulosic hydrolysates by
78 microorganisms is essential to develop a bioeconomy through the cost-effective production of
79 biofuels and value-added chemicals (1). Sugarcane and corn starch have been used as sugar
80 sources for value-added biotransformation based on microbial fermentation, but the “food
81 versus biofuel” issue has been a concern (2). Cellulosic biomass could provide an ethical and
82 sustainable solution (3). Sugarcane and starch contain only hexoses, but cellulosic biomass
83 consists of various hexoses and pentoses. Glucose and xylose are the primary sugars in
84 cellulosic hydrolysates (4). Therefore, efficient microbial fermentation of both hexose and
85 pentose sugars is necessary to produce biofuels such as ethanol (5).

86 The hexose-fermenting *Saccharomyces cerevisiae* has been employed for the large-
87 scale fermentation of sugarcane and corn starch, as well as for many other industrial
88 fermentations for the production of value-added chemicals (6, 7). In addition, genetic tools of
89 *S. cerevisiae* have been well-developed so that sophisticated genetic modifications can be
90 readily performed (8). Nonetheless, *S. cerevisiae* cannot natively ferment xylose, which is the
91 second most abundant sugar in cellulosic hydrolysates. Therefore, metabolic engineering
92 efforts to construct xylose-fermenting *S. cerevisiae* have been made for over two decades (9).
93 A common strategy for the construction is to introduce either the oxidoreductase pathway
94 consisting of xylose reductase (XR) and xylitol dehydrogenase (XDH), or the single-step
95 isomerization pathway catalyzed by xylose isomerase (XI) (10-17). After introducing the
96 xylose assimilation pathways, engineered *S. cerevisiae* was able to convert xylose into
97 ethanol but utilized glucose preferentially from mixtures of glucose and xylose (18, 19). This
98 “glucose repression” problem is a major barrier to efficient fermentation using sugar mixtures
99 from terrestrial and marine biomass (20, 21). To mitigate this issue, direct fermentation of
100 cellobiose, a dimer of glucose, by engineered yeast has been proposed (22, 23). Wild-type *S.*

101 *cerevisiae* cannot utilize cellobiose, but the introduction of a cellodextrin transporter gene
102 (*cdt-1*) and an intracellular β -glucosidase gene (*ghl-1*) from *Neurospora crassa* allowed
103 cellobiose assimilation in *S. cerevisiae* (23). The extracellular glucose can inhibit xylose
104 uptake, while the intracellular glucose does not impede xylose transport. As cellobiose is
105 hydrolyzed into glucose intracellularly in engineered yeast, glucose repression on xylose can
106 be alleviated so that engineered yeast with both xylose and cellobiose-assimilating pathways
107 was able to ferment cellobiose and xylose simultaneously. Moreover, the simultaneous co-
108 fermentation of cellobiose and xylose led to a higher ethanol yield and productivity as
109 compared to the sequential fermentation of glucose and xylose (22).

110 There are two potential problems with cellobiose fermentation in prior studies (22,
111 24). First, the engineered yeast contained the episomal plasmids that can result in plasmid
112 loss in non-selective media due to a metabolic burden on the host (25). Second, the
113 engineered yeast accumulated substantial amounts of extracellular cellodextrin due to the
114 transglycosylation activity of β -glucosidase when there is a mismatch between glucose
115 production and consumption rates during the cellobiose fermentation. While the accumulated
116 cellodextrin can be re-assimilated later, it might decrease ethanol productivity (22). In this
117 study, we aimed to solve these two problems in the cellobiose-fermenting yeast constructed
118 using episomal plasmids. To alleviate these issues, we integrated the cellobiose assimilation
119 pathway into the genome and performed laboratory evolution using cellobiose as the sole
120 carbon source. When we introduced the *cdt-1* and *ghl-1* genes into the genome of *S.*
121 *cerevisiae*, the engineered strain showed a low cellobiose consumption rate. However, we
122 observed that the cellobiose fermentation rate increased significantly after serial subcultures
123 on cellobiose. After isolating the evolved strains, we identified the genetic changes
124 responsible for the improved phenotype of the evolved strain through genome sequencing.
125 Interestingly, the improved cellobiose fermentation with reduced cellodextrin accumulation

126 was not caused by mutations in endogenous genes of *S. cerevisiae*, but instead greatly
127 increased copy numbers of *cdt-1* and *ghl-1* with a presumably optimized copy-number ratio
128 of 1:2, respectively. These results suggest that copies of genes coding for metabolic enzymes
129 might be amplified in yeast if there is a growth advantage, and the cellobiose assimilation
130 pathway (transport and hydrolysis) might be a rate-limiting step of cellobiose fermentation by
131 engineered *S. cerevisiae*.

132

133 **Materials and Methods**

134

135 **Strains, media and culture conditions**

136 The yeast plasmids and strains used in this study are summarized in Table 1.

137 *Escherichia coli* DH5 (*F*– *recA1 endA1 hsdR17* [*rK*– *mK*+] *supE44 thi-1 gyrA relA1*)

138 (Invitrogen, Gaithersburg, MD) was used for gene cloning and manipulation. The *E. coli* was

139 grown in Luria-Bertani medium. 50 µg/mL of ampicillin was added as required. Yeast strains

140 were routinely cultivated at 30 °C in YP medium (10 g/L of yeast extract and 20 g/L of

141 peptone) with 20 g/L of glucose or 20 g/L of cellobiose. To select the transformants using an

142 auxotrophic marker, yeast synthetic complete (YSC) medium was used, which contained 6.7

143 g/L of Yeast Nitrogen Base (YNB) plus 20 g/L of glucose, 20 g/L of agar, and CSM-Leu-

144 Trp-Ura-His (MP Biomedicals, Santa Ana, CA) with a supply of appropriate nucleotides and

145 amino acids.

146

147 **Strains and plasmid construction**

148 All of the primers used for constructing plasmids are listed in Table S1. We used the

149 CloneEZ PCR cloning Kit (Genscript, Piscataway, NJ) to construct plasmids expressing β-

150 glucosidase (*ghl-1*) and cellodextrin transporter (*cdt-1*) from *N. crassa*. The plasmids

151 pRS425-gh1-1 and pRS426-cdt-1 were constructed in a previous study to overexpress β -
152 glucosidase (*ghl-1*) and cellodextrin transporter (*cdt-1*) under the control of the *PGK1*
153 promoter and *CYC1* terminator (Galazka et al., 2010). Each expression cassette of β -
154 glucosidase and cellodextrin transporter was amplified by PCR between the T3 and T7 sites
155 on both pRS425-gh1-1 and pRS426-cdt-1. Linearized vectors were prepared by PCR,
156 amplifying the backbone region (including the auxotrophic markers and replicons) between
157 T3 and T7 primer binding sites of templates pRS405, pRS406, pRS423, pRS424, pRS425,
158 and pRS426. The expression cassettes for the T3-T7 section were cloned to the linearized
159 vectors by a recombination procedure. The resulting plasmids pRS405-gh1-1 and pRS406-
160 cdt-1 were integrated into the *LEU2* and *URA3* loci of the *S. cerevisiae* D452-2 strain,
161 yielding the EJ1 strain. The *S. cerevisiae* CEN.PK2-1D strain was used as a host strain to
162 express β -glucosidase (*ghl-1*) and cellodextrin transporter (*cdt-1*) using multi-copy plasmids.
163 The yeast EZ-Transformation kit (MP Biomedicals, Santa Ana, CA) was used to transform
164 the expression cassettes. The transformants were selected on YSC agar medium containing
165 20 g/L of glucose. Amino acids and nucleotides were added as necessary.

166 To construct the *SVL3* deletion mutants, the *svl3A::KanMX4* cassette was amplified
167 by PCR from the genomic DNA of the BY4742 *svl3A* strain in the Yeast Knockout Collection
168 (Open Biosystems, Pittsburgh, PA). The PCR product was purified and integrated into the
169 strain EJ1, and the deletion mutant was selected on YP medium (10 g/L of yeast extract and
170 20 g/L of peptone) containing 20 g/L of glucose with 500 μ g/mL of G418. The *S. cerevisiae*
171 *SVL3* gene was PCR amplified from the genomic DNA of strains EJ1 and EJ2 using the
172 primers SVL3 cloning-F and SVL3 cloning-R. Each amplified DNA fragment was cloned
173 into the plasmid pRS423 under the control of the *TDH3* promoter and *CYC1* terminator. The
174 transformation of the constructed plasmids was performed using the yeast EZ-Transformation
175 kit (MP Biomedicals, Santa Ana, CA).

176

177 **Fermentation experiments**

178 The yeast cells were grown in YP medium containing 20 g/L of cellobiose to prepare
179 inoculums for the fermentation experiments. The cells were harvested in the mid-exponential
180 phase ($OD_{600} = 1.0$) and inoculated into the experimental flasks after washing them twice
181 with sterilized water. The 125 mL flasks fermentation contained 25 mL of YP medium with
182 the appropriate sugars at 30 °C with initial optical densities (OD) at 600 nm of 1.0 or 0.1
183 under oxygen-limited conditions.

184

185 **Preparation of crude extract and β -glucosidase activity assay**

186 Yeast cells grown to mid-log phase ($OD_{600} = 1.0$) at 30°C in YP medium with 20 g/L
187 of cellobiose were harvested by centrifugation at $3000 \times g$ for 5 min. The cell pellets were
188 washed and suspended in Y-PER solution (Pierce, Rockford, IL). After incubation at room
189 temperature for 20 min., the cell suspension was centrifuged at 13,000 rpm for 10 min. to
190 completely remove the cell debris. The supernatant (crude enzyme extract) was kept on ice
191 for the enzyme assay. The β -glucosidase activity was measured according to the previous
192 method (Galazka et al., 2010) in a reaction solution containing 50 mM of sodium acetate
193 buffer (pH 4.8) and 6.7 mM of para-nitrophenyl β -D-glucopyranoside (*p*NPG). The release of
194 *p*-nitrophenol (NP) was monitored by a microplate reader (Synergy 2; Biotek, Winooski, VT)
195 at 405 nm during 1 hour of incubation at 30 °C. The *p*-nitrophenol absorption coefficient was
196 $18.4 \text{ mM}^{-1}\text{cm}^{-1}$. One unit of enzyme activity is defined as the amount of enzyme that
197 catalyzes 1 μmol of substrate per min. at 30 °C. The protein concentration was determined by
198 the BCA method (Pierce, Rockford, IL).

199

200 **Quantitative PCR for determining genomic copy numbers of *gh1-1* and *cdt-1***

201 The genomic DNA of the strain from each subculture was prepared with the YeaStar
202 Genomic DNA Kit (Zymo Research, Orange, CA) and quantified by NanoDrop ND-1000
203 (Thermo Fisher Scientific, Wilmington, DE). Real-time PCR was performed using SYBR
204 Green I Master (Roche) on a Lightcycler 480 instrument (Roche Applied Science,
205 Indianapolis, IN) following the manufacturer's directions. As shown in Table S1, the primers
206 were designed to detect the *gh1-1* and *cdt-1* genes. The concentrations of the genes were
207 quantified by standard curves generated by the *gh1-1* and *cdt-1* fragments purified from
208 pRS425-*gh1-1* and pRS426-*cdt-1* respectively. The calculations of the genomic copy
209 numbers were determined by a comparison of the relative concentrations of the genes
210 obtained by quantitative PCR, as described by Kim et al. (26).

211

212 **Genome sequencing, single nucleotide polymorphism (SNP) discovery, and estimation of**
213 **copy numbers**

214 The genomic DNA from *S. cerevisiae* EJ1 and EJ2 was prepared using the YeaStar
215 Genomic DNA Kit (Zymo Research, Irvine, CA). The genome sequencing was performed
216 using an Illumina HiSeq2000 machine in the W. M. Keck Center for Comparative and
217 Functional Genomics at the University of Illinois at Urbana-Champaign. The barcoded
218 shotgun genomic DNA libraries were constructed with the TruSeq DNaseq Sample Prep Kit
219 (Illumina, San Diego, CA). The diluted equimolar libraries (10 nM) were multiplexed on a
220 lane and sequenced using single reads for 100 nt by the Illumina HiSeq2000, with SBS
221 chemistry version 2. The raw data were processed by Casava 1.7. SNP discovery and the
222 estimation of the copy numbers was performed using CLC Genomics Workbench 7.0.2 (CLC
223 Bio, Aarhus, Denmark). The sequence reads were trimmed with a quality score limit of 0.05
224 and assembled into reference sequences. As reference sequences, *S. cerevisiae* S288C was

225 used for the SNP discovery, and the combinations of the RT-PCR reference genes commonly
226 used for quantitative gene expression (27). The read depth values for *ACT1*, *IPPI1*, and *PDA1*
227 were used to estimate the copy numbers of *gh1-1* and *cdt-1* to get the average coverage for
228 them. Probabilistic variant detection was performed with a minimum coverage of 10 and a
229 probability of 90, and these variants were compared to the reference variants to discover SNP.

230

231 **Pulsed-field gel electrophoresis (PFGE)**

232 Chromosomal DNA was prepared with the CHEF genomic DNA plug kit (Bio-Rad,
233 Hercules, CA). The agarose plugs containing yeast chromosomes were loaded to a 0.8 %
234 agarose gel in a 0.5 × TBE buffer. Bio-Rad CHEF Mapper XA pulsed field electrophoresis
235 system was used to separate chromosomes with following conditions: a voltage of 6 V/cm, a
236 run time of 24 hours, and an angle of 120 ° at 14 °C. The gel was stained with ethidium
237 bromide after electrophoresis.

238

239 **Analytical methods**

240 Cell growth was monitored by OD at 600 nm using a UV-visible spectrophotometer
241 (Biomate 5, Thermo, NY). The glucose, cellobiose, xylose, xylitol, glycerol, acetate and
242 ethanol concentrations were determined by high-performance liquid chromatography (HPLC,
243 Agilent Technologies 1200 Series) equipped with a refractive index detector using a Rezex
244 ROA-Organic Acid H⁺ (8%) column (Phenomenex Inc., Torrance, CA). The column was
245 eluted with 0.005 N of H₂SO₄ at a flow rate of 0.6 mL/min at 50 °C.

246

247 **Results**

248

249 **Construction of cellobiose-utilizing strains via integration of *cdt-1* and *gh1-1***

250 In contrast to prior studies that employed episomal plasmids for expressing *cdt-1* and
251 *ghl-1*, we integrated *cdt-1* and *ghl-1* into the genome of *S. cerevisiae*. The resulting EJ1
252 strain harboring both *cdt-1* and *ghl-1* in the genome showed a limited ability to metabolize
253 cellobiose as the sole carbon source (Fig. 1). When 80 g/L of cellobiose was provided, the
254 EJ1 strain consumed 51 g/L of cellobiose and produced 16 g/L of ethanol during 120 hours,
255 but further metabolism of cellobiose was not significant until ~300 hours. The volumetric
256 productivity (P_{Ethanol}) of the cellobiose fermentation was 0.037 g/L·h and ethanol yield
257 (Y_{Ethanol}) was 0.18 g ethanol/g cellobiose. In addition to the low values for P_{Ethanol} and Y_{Ethanol} ,
258 the cellobiose consumption rate of the EJ1 strain decreased during the fermentation. The
259 initial rate was 0.40 g/L·h and after 140 hours, when acetate concentration had increased to
260 1.94 g/L, it became much slower. Thus, acetate accumulation might have inhibited cellobiose
261 consumption. When compared to a strain expressing episomal multi-copies of the same genes
262 (24), the EJ1 strain expressing the single chromosomal copy of *cdt-1* and *ghl-1* assimilated
263 cellobiose at an extremely slow rate (2.22 versus 0.18 g/L·h).

264

265 **Improved cellobiose-fermenting capability through laboratory evolution**

266 To improve the cellobiose fermentation rate, the EJ1 strain was subjected to
267 laboratory evolution, which consisted of serial subcultures in YP medium containing 80 g/L
268 of cellobiose as the sole carbon source. We hypothesized that repeated subculturing on 80 g/L
269 of cellobiose would select for spontaneous mutants with an increased growth rate. As
270 expected, the EJ1 strain showed gradual but noticeable improvement in its cellobiose
271 consumption rate during the serial subcultures (Fig. 1 and Supplementary Fig. S1). The
272 ethanol concentration was 11.6 g/L at the end of the first culture, but the ethanol production
273 was 35.2 g/L at the end of the last culture. Both yields and productivities of ethanol
274 production from cellobiose were improved substantially as the serial subcultures progressed

(Fig. 1). As the twelfth subculture might be a heterogenous population of various evolved strains, we plated and selected nine colonies from the last subculture, and their cellobiose fermentation capabilities were evaluated using the same culture medium (80 g/L of cellobiose) used for the serial subcultures (Supplementary Fig. S2). The colony that exhibited the highest ethanol productivity was named EJ2. The cellobiose fermentation profile by the EJ2 strain was similar to those of the last serial subculture. These results indicate that the majority of the population in the last subculture had similar phenotypes to the EJ2 strain. It was evident that the EJ2 strain can ferment cellobiose much faster than the EJ1 strain, possibly as a result of the EJ2 strain having accumulated beneficial mutations in the genome during the serial subcultures.

Identification of genetic mutations in the evolved cellobiose-fermenting strain

Laboratory evolution under a particular selection condition and subsequent genome sequence analysis of a selected colony with the desired phenotype is a proven approach of inverse metabolic engineering (26, 28). After comparing the genome sequences of the EJ2 and EJ1 strains, we identified only one non-synonymous change in the EJ2 strain as compared to the EJ1 strain. A protein of unknown function encoded by *SVL3* in the EJ2 strain had a mutation from methionine to isoleucine at position 685 (M685I) as compared to the EJ1 strain. The SNP (G2005A) in the *SVL3* gene was confirmed by Sanger sequencing of a PCR product amplified from the genomic DNA of the EJ2 strain. As a previous report discovered that *SVL3* might be involved in vacuolar function (29), we reasoned that the alteration of vacuole function might increase the stabilities of the cellobiose transporter and β -glucosidase and improve the cellobiose fermentation. To test this idea, we both deleted and overexpressed the *SVL3* gene in the slow cellobiose-fermenting strain EJ1, and examined cellobiose fermentation rates of the EJ1, the EJ1 *svl3A*, and the EJ1 *SVL3* overexpressing strains.

300 However, no changes in cellobiose fermentation by those strains were observed. In addition,
301 we overexpressed the mutant allele of *SVL3* (M685I) that was present in EJ2, but there was
302 no significant difference in the cellobiose fermentation rate (Supplementary Fig. S3). These
303 results suggest that the mutation in the *SVL3* gene is not directly associated with the
304 improved cellobiose fermentation capability of the EJ2 strain.

305 As there were no additional non-synonymous variants in coding regions, we then
306 investigated the copy number variations in EJ2 as compared to EJ1. The average read depth,
307 which is the number of short sequences mapped to each target from the Illumina sequence
308 reads, was used to estimate the copy number of the integrated *cdt-1* and *ghl-1* genes.
309 Therefore, we examined the average read depths of *cdt-1* and *ghl-1* and all open reading
310 frames in EJ1 and EJ2 strains. We could not find any copy number variations of endogenous
311 genes in *S. cerevisiae*, but 19-fold and 17-fold increases in average read depths of *cdt-1* and
312 *ghl-1*, respectively, in the EJ2 strain as compared to the EJ1 strain were observed (Fig. 2A).
313 We also determined the copy numbers of *cdt-1* and *ghl-1* in the EJ1 and EJ2 strains using
314 real-time quantitative PCR (qPCR). As expected, the EJ2 strain showed much higher copy
315 numbers for *cdt-1* and *ghl-1* (9 and 23, respectively) than for EJ1 (1 and 2, respectively) (Fig.
316 2A). This result suggested that the gene amplification of *cdt-1* and *ghl-1* in the genome might
317 be responsible for the improved phenotype of the evolved strain (EJ2). We also measured the
318 β -glucosidase activities in the EJ1 and EJ2 strains and observed that the crude extract of the
319 EJ2 strain exhibited a higher β -glucosidase activity than the EJ1 strain, suggesting that the
320 increased copy numbers of *ghl-1* in the EJ2 strain resulted in increased GH1-1 enzyme (Fig.
321 2B).

322

323 **Amplification of *cdt-1* and *ghl-1* during serial subcultures on cellobiose**

324 As we identified the increased copy numbers of *cdt-1* and *ghl-1* in the evolved EJ2

325 strain that is a strain isolated from the final serial subculture, we reasoned that the copy
326 numbers of *cdt-1* and *ghl-1* in the evolving strains might increase during the serial
327 subcultures. Interestingly, the copy numbers of *cdt-1* and *ghl-1* in the evolving strains
328 increased gradually with good correlations of cellobiose consumption rates and ethanol yields
329 (Fig. 1 and Fig. 3). Notably, there was a significant improvement of the cellobiose
330 consumption rate at the fifth subculture with a substantial increase in the copy numbers of
331 *cdt-1* and *ghl-1* (from 3 copies of *cdt-1* and 5 copies of *ghl-1* into 6 copies of *cdt-1* and 14
332 copies of *ghl-1*). Whereas it took more than 140 hours to consume 80 g/L of cellobiose in the
333 initial EJ1 strain, it took only 71 hours to ferment 80 g/L of cellobiose after the fifth
334 subculture. This suggests that amplification of the *cdt-1* and *ghl-1* genes during laboratory
335 evolution was the cause of improved cellobiose fermentation.

336 The massive gene amplifications of *cdt-1* and *ghl-1* in the genome of the EJ2 strain
337 was also confirmed by PFGE. The expression cassettes for *cdt-1* and *ghl-1* were integrated
338 into the *URA3* and *LEU2* loci in chromosome V and III, respectively in the EJ1 strain (Fig
339 4A). Therefore, the sizes of chromosome V and III of the EJ2 strain should be bigger than
340 those of the EJ1 strain. As the size of the *cdt-1* cassette was 7.3 kb and the size of the EJ2
341 chromosome V was ~100 kb bigger, this is consistent with a copy number of 14 (7.3 kb/copy
342 × 14 copies) (Fig. 4B). The size of Chromosome III of the EJ2 strain appeared to be ~220 kb
343 larger than EJ1, which is consistent with a *ghl-1* cassette copy number of 27 (8.1 kb/copy ×
344 27 copies) (Fig. 4B). The sizes of the chromosomes in the EJ1 and EJ2 strains obtained from
345 PFGE were entirely consistent with the copy number estimates determined by Illumina read
346 depth analysis and qPCR. Together, all three methods demonstrate that massive gene
347 amplification in the chromosomes occurred during laboratory evolution, and likely
348 contributed to substantial improvements in cellobiose fermentation.

349

350 **Effects of copy number variations of *cdt-1* and *ghl-1* on fermentation of cellobiose**

351 To determine whether increased copy number of the *cdt-1* and *ghl-1* genes was
352 responsible for the enhanced cellobiose fermentation we observed in the evolved strains, we
353 constructed a series of engineered yeast strains with varied numbers of the *cdt-1* and *ghl-1*
354 cassettes. We used a different strain background (*S. cerevisiae* CEN.PK2-1D) not only
355 because the strain has quadruple autotrophic markers, but also to examine whether or not the
356 copy number effect is strain independent. A total of five engineered strains were constructed
357 that contained different numbers of CEN plasmids with either the *cdt-1* or *ghl-1* expression
358 cassettes: CEN-C1B1 had one for the *cdt-1* expression cassette and one plasmid for the *ghl-1*
359 expression cassette, CEN-C1B2 had one plasmid containing the *cdt-1* expression cassette and
360 two plasmids containing the *ghl-1* expression cassette, CEN-C1B3 had one plasmid
361 containing the *cdt-1* expression cassette and three plasmids containing the *ghl-1* expression
362 cassette, CEN-C2B1 had two plasmids containing the *cdt-1* expression cassette and one
363 plasmid containing the *ghl-1* expression cassette, and CEN-C3B1 had three plasmids
364 containing the *cdt-1* expression cassette and one plasmid containing the *ghl-1* expression
365 cassette transformed into the CEN.PK2-1D strain.

366 Using the above five strains, we examined the effects of varied expression levels of
367 the cellodextrin transporter and β -glucosidase on cellobiose fermentation rates. As shown in
368 Fig. 5, *S. cerevisiae* CEN.PK2-1D strains harboring higher copy numbers of *cdt-1* or *ghl-1*
369 exhibited higher cellobiose consumption rates and ethanol productivity than ones containing
370 lower copy numbers of the genes. When cultured in 80 g/L cellobiose as the sole carbon
371 source, the cellobiose consumption rate and ethanol productivity by the CEN-C3B1 strain
372 were 35 % and 39 % higher, respectively, than those of the CEN-C1B1 strain. However, the
373 differences in the fermentation parameters between the CEN-C2B1 and CEN-C3B1 strains
374 were not significant, suggesting that the CEN-C2B1 strain was already saturated with *cdt-1*

375 copies (Fig. 5). We also observed that an increase in the *ghl-1* copy number improved the
376 cellobiose fermentation. The ethanol productivity of the CEN-C1B3 strain (0.402 ± 0.009
377 g/L·h) was higher than that of the CEN-C1B1 strain (0.304 ± 0.006 , $P < 0.05$), corresponding
378 to a higher (30 %) cellobiose consumption rate. These results indicate that the key
379 fermentation parameters, cellobiose consumption rate and ethanol productivity, improve by
380 increasing the copy numbers of *ghl-1* and *cdt-1*, regardless of the strain background.

381

382 **Stability of the integrative cassettes of *cdt-1* and *ghl-1* in chromosomes of the EJ2 strain**

383 It is evident that the copy number increases of the *cdt-1* or *ghl-1* genes in engineered
384 yeast, either through gene amplification, or increased episomal plasmid copy number can
385 accelerate cellobiose utilization. One obvious advantage of gene amplification over episomal
386 plasmids is long-term stability under non-selective culture conditions, which are mostly used
387 in industrial fermentations. Therefore, we examined the long-term stability of the amplified
388 cassettes of *cdt-1* and *ghl-1* without any selective pressure, i.e. without using cellobiose as a
389 carbon source. We performed ten serial transfers of the EJ2 strain in 20 g/L glucose. The cells
390 from the tenth transfer (EJ2-10) were evaluated in medium containing cellobiose as the sole
391 carbon source. Interestingly, EJ2-10 exhibited similar cellobiose consumption rate and
392 ethanol productivity to the EJ2 strain (Table 2). The EJ2-10 strain yielded a comparable
393 specific cellobiose consumption rate (0.46 ± 0.01 g cellobiose/g cell/h) than EJ2 (0.49 ± 0.00
394 g cellobiose/g cell/h). Using real-time PCR (qPCR), the copy numbers for *cdt-1* and *ghl-1* (7
395 and 17) in EJ2-10 were found to be lower than in EJ2 (9 and 23) (Fig. 6). These results
396 showed that the copy numbers of *cdt-1* and *ghl-1* in engineered yeast might be varied upon
397 culture conditions but they did not change drastically. While the ten times of subcultures on
398 glucose is an exaggerated condition, the EJ2 strain was able to keep the rapid cellobiose
399 fermenting phenotype. Here, we show that cellobiose can be used as an efficient selection

400 pressure for gene amplification and the amplified cassettes in the genome are stable.

401

402 **4. Discussion**

403 Production of biofuels and chemicals from mixed sugars present in the hydrolysates
404 of marine and terrestrial biomass has been anticipated for decades, but both yields and
405 productivities of biofuels and chemicals are not currently feasible for commercialization (30-
406 32). Among many bottlenecks, glucose repression on utilizing non-glucose sugars is a
407 significant problem for fermenting mixed sugars by engineered *S. cerevisiae*. Direct
408 fermentation of cellobiose by engineered yeast opened the possibility of simultaneous
409 utilization of cellobiose and non-glucose sugars (22, 23), and it is proven that cellobiose
410 fermentation by engineered yeast can be applied for fermenting pretreated cellulosic biomass
411 (33, 34).

412 To ferment cellobiose in cellulosic hydrolysates, it is necessary for the engineered *S.*
413 *cerevisiae* to keep the plasmids for expressing the β -glucosidase and the cellodextrin
414 transporter. Therefore, we introduced cellodextrin transporter and β -glucosidase genes into
415 the genome of *S. cerevisiae* to enable their stable expression under non-selective conditions.
416 Also, we applied an evolutionary engineering strategy to improve the cellobiose fermentation
417 rate of the integrant strain. In this study, the evolved strain harbored higher copy numbers of
418 the cellodextrin transporter gene (*cdt-1*) and β -glucosidase gene (*ghl-1*) in the genome than
419 the parental strain did. The fast cellobiose-consuming strain showed high enzyme activity
420 with *ghl-1*, indicating that the β -glucosidase activity corresponded to the copy number
421 variations. The tandem amplification of genes might be initiated by the unequal crossover of
422 the *ura3::URA3 PGK1p-cdt-1-CYC1t* or *leu2::LEU2 PGK1p-ghl-1-CYC1t* construct in the
423 genome (Fig. 4A). This difference suggests that amplification of integrated *cdt-1* and *ghl-1* in
424 the genome during laboratory evolution might be the primary genetic event. In a prior study,

425 xylose utilization by engineered *S. cerevisiae* harboring xylose isomerase (*xyIA*) was also
426 significantly improved by tandem gene amplification of the *xyIA* in the chromosome,
427 resulting in an increase in the expression level of the xylose isomerase during evolutionary
428 engineering (12). Taken together, these findings indicate that the increase by gene
429 amplification in the copy numbers of the essential genes for sugar utilization (*cdt-1* and *ghl-1*
430 or *xyIA*) might be a key factor in improving the fermentation performance.

431 The effect of the copy number variations of *cdt-1* and *ghl-1* on cellobiose
432 fermentation in the recombinant *S. cerevisiae* was also tested using a different host strain. The
433 increase of the *cdt-1* and *ghl-1* plasmid copy numbers in *S. cerevisiae* CEN.PK2-1D resulted
434 in an improvement of the ethanol productivity and cellobiose consumption rate. In the case of
435 the copy number variations of *cdt-1* with the limited, constant expression of *ghl-1* (CEN-
436 C1B1, CEN-C2B1, and CEN-C3B1), the cellobiose consumption rate and ethanol
437 productivity were saturated with two multi-copy plasmids expressing the *cdt-1*. This
438 saturation suggests that there might be optimized levels for cellobiose transporter and β -
439 glucosidase in efficient cellobiose-fermenting *S. cerevisiae*. Interestingly, the copy-number
440 ratio of *cdt-1* and *ghl-1* converged to 1:2 as the engineered strains evolved to ferment the
441 cellobiose faster (Fig. 3). In another study, directed evolution using the screening of promoter
442 libraries was applied to optimize the cellobiose-utilizing pathway. Similarly, the efficient
443 cellobiose-utilizing strain showed a faster consumption rate at the optimized ratio of the
444 expression levels of *cdt-1* and *ghl-1* (1:2.5) (35). Although our approach for improving the
445 cellobiose fermentation was different from Yuan et al. (35), we found that the copy numbers
446 of *cdt-1* and *ghl-1* in the engineered *S. cerevisiae* increased to the optimized ratio. These
447 results indicated that not only the absolute increase of the copy numbers of *cdt-1* and *ghl-1*
448 but also their ratio is important for the large improvement in cellobiose fermentation we
449 observed in the evolved strain EJ2.

450 Despite the promising results from the cellobiose fermentation experiments, transient
451 cellodextrin accumulation by the transglycosylation of β -glucosidase was observed in the
452 medium during the processes (24). The accumulated cellodextrin might decrease the ethanol
453 productivity because the cellodextrin transport rate and re-consumption might not be as fast
454 as the cellobiose fermentation (22, 24). The *S. cerevisiae* D452-2 strain expressing the
455 cellodextrin transporter and β -glucosidase from episomal plasmids (DCDT-1G) accumulated
456 15.5 g/L of cellodextrin in the fermentation experiments using YP medium with 80 g/L
457 cellobiose under oxygen-limited conditions. In contrast, the EJ2 strain which is originated
458 from the same host (*S. cerevisiae* D452-2) showed only a 2.6 g/L cellodextrin accumulation
459 and a higher ethanol productivity (0.52 versus 1.02 g/L·h) at 24 hours under the same
460 conditions (Supplementary Fig. S4). When the engineered yeast strains uptake cellobiose
461 rapidly, the accumulated intracellular cellobiose be can used as a substrate for producing
462 cellodextrin by the transglycosylation activity of β -glucosidase (*ghl-1*). It is well-known that
463 high cellobiose concentrations cause cellodextrin production by β -glucosidase *in vitro* (36),
464 and the intracellular cellobiose concentration is determined by the rates of cellobiose
465 transport and hydrolysis. Optimization of the cellodextrin transporter and β -glucosidase
466 expression levels in the genome by evolutionary engineering may minimize the accumulation
467 of intracellular cellobiose and its transglycosylation by β -glucosidase.

468 By genome integration of the cellobiose-utilizing pathway followed by evolutionary
469 engineering, we improved the cellobiose-fermentation performance of the parental strain
470 impressively. Based on the data presented in this study, the evolved strain showed a
471 significantly improved cellobiose consumption rate and ethanol productivity without directed
472 evolution (35) or using an industrial strain as the host strain (37). In addition, our approach of
473 integration followed by evolution, could be applied to construct stable systems in *S.*
474 *cerevisiae* that require high gene copy number. It is hard to implement induction or antibiotic

475 systems in industrial fermentation processes; therefore, stable strains based on chromosomal
476 integration are desirable (38-41). Here we used cellobiose, a sugar derived from cellulosic
477 biomass, as a strong selective pressure to produce massive gene amplification. Since the
478 approach did not utilize antibiotics as a selective pressure for gene amplification or need
479 additional genetic manipulations to keep the amplified gene copy numbers after the
480 laboratory evolution (42), it demonstrates an industrially applicable and efficient metabolic
481 engineering strategy. Also, we observed that loss in the copy numbers of *cdt-1* and *ghl-1* in
482 the genome of the EJ2 strain was marginal after serial subcultures on glucose for 240 hours
483 (Fig. 6 and Table 2). The results suggested that the stability of integrated cassettes of *cdt-1*
484 and *ghl-1* in chromosomes can be maintained even in the absence of the selection pressure.
485 We envision that the construction of a stable industrial strain using our engineering strategy
486 that can co-ferment cellobiose and xylose will enable a commercially viable Simultaneous
487 Saccharification and Co-Fermentation (SSCF) process.

488

489 **Acknowledgements**

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623 **Figure legends**

624

625 Figure 1. Improvement of cellobiose fermentation capability of engineered *S. cerevisiae* EJ1
626 during adaptive evolution on a high concentration of cellobiose. The subcultures were
627 performed under oxygen-limited conditions (100 rpm) in YP medium with 80 g/L of
628 cellobiose. The initial cell density of each subculture was adjusted to 0.29 g/L ($OD_{600} = 1$),
629 and the cells were transferred to fresh medium when they reached the stationary phase.
630 Symbols: biomass (open circle), cellobiose (solid square), ethanol (solid diamond), and
631 acetate (solid triangle).

632

633 Figure 2. Copy numbers of cellodextrin transporter gene (*cdt-1*) and β -glucosidase gene (*ghl-*
634 *1*) in the parental (EJ1) and evolved strains (EJ2). (A) Copy numbers of *cdt-1* and *ghl-1*
635 estimated by genome sequencing data and qPCR. (B) The β -glucosidase activity of
636 engineered *S. cerevisiae* EJ1 and evolved strain EJ2. The results are the means of triplicate
637 experiments and the error bars indicate standard deviations. Cells grown to mid-log phase in
638 YP medium containing cellobiose (20 g/L) were harvested for the enzymatic assay.

639

640 Figure 3. A gradual increase in the copy numbers of cellodextrin transporter gene (*cdt-1*) and
641 β -glucosidase gene (*ghl-1*) during serial subcultures of EJ1. Copy numbers of *cdt-1* and *ghl-*
642 *1* in each transfer were estimated by qPCR. The results are the means of triplicate
643 experiments and the error bars indicate standard deviations.

644

645 Figure 4. Amplification of integrative cassettes for *cdt-1* and *ghl-1* in chromosomes. (A)
646 Locations of expression cassettes in chromosomes of EJ1 and EJ2. (B) Pulsed-field gel
647 electropherogram of chromosomes from parental (D452-2) and engineered strains (EJ1 and

648 EJ2). The chromosome numbers and sizes are labeled on the left side and right side of the
649 figure. M, yeast chromosome markers; Solid arrow, chromosome III; open arrow,
650 chromosome V.

651

652 Figure 5. Effect of copy number variations of *cdt-1* and *ghl-1* in episomal plasmids on
653 cellobiose fermentation capability of engineered *S. cerevisiae* CEN.PK2-1D. The effects of
654 increasing episomal plasmids with *cdt-1* (CEN-C2B1 and CEN-C3B1; solid arrow) and *ghl-1*
655 *1* (CEN-C1B2 and CEN-C1B3; dotted arrow) in YP medium containing 80 g/L of cellobiose
656 were compared to engineered *S. cerevisiae* CEN-C1B1. The initial cell density was adjusted
657 to 0.029 g/L, and all fermentations were performed under oxygen-limited conditions. The
658 results are the means of duplicate experiments and the error bars indicate standard deviations.

659

660 Figure 6. Changes in copy numbers of cellodextrin transporter gene (*cdt-1*) and β -glucosidase
661 gene (*ghl-1*) during serial subcultures of EJ2 on glucose. The results are the means of
662 triplicate experiments and the error bars indicate standard deviations. The subcultures were
663 performed under oxygen-limited conditions (100 rpm) in YP medium with 20 g/L of glucose.
664 The initial cell density of each subculture was adjusted to 0.29 g/L ($OD_{600} = 1$), and the cells
665 were transferred to fresh medium when the cells reached the stationary phase. EJ2-10, cells
666 from the tenth transfer.

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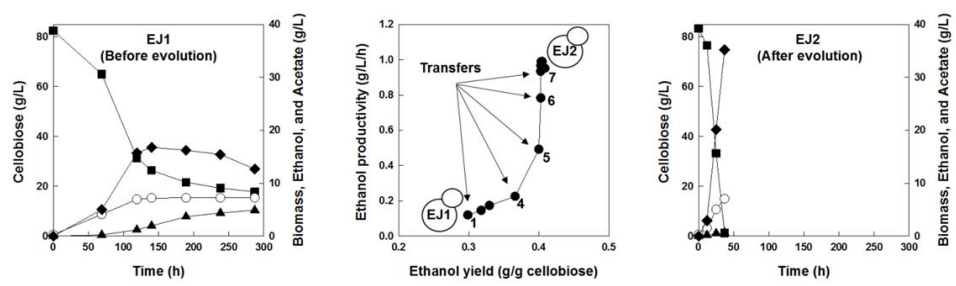
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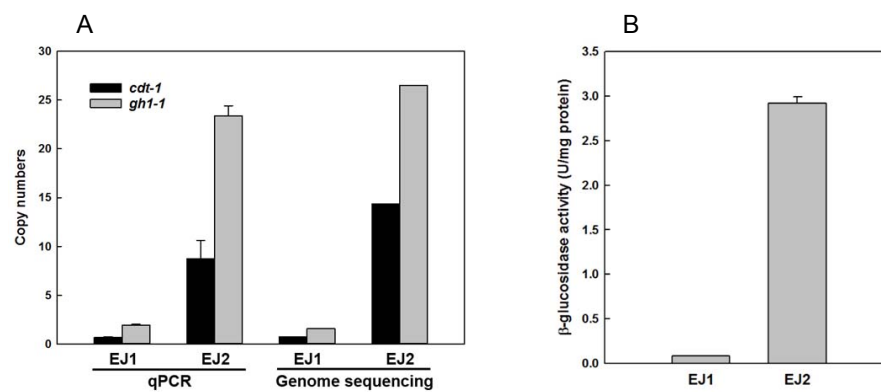
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Figure 1.



693 Figure 2.

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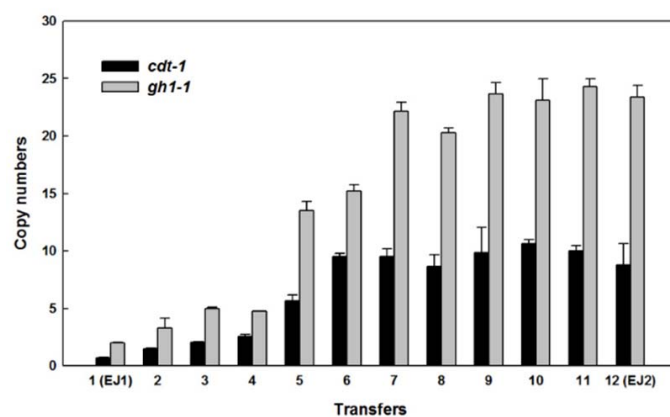
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712 Figure 3.



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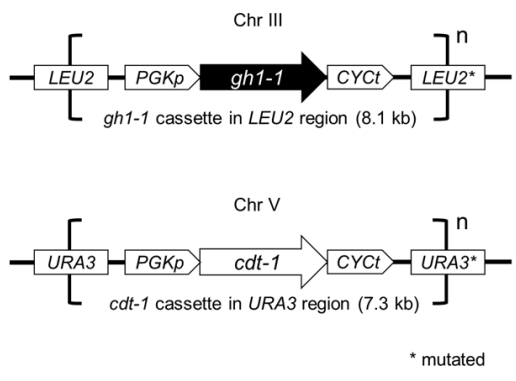
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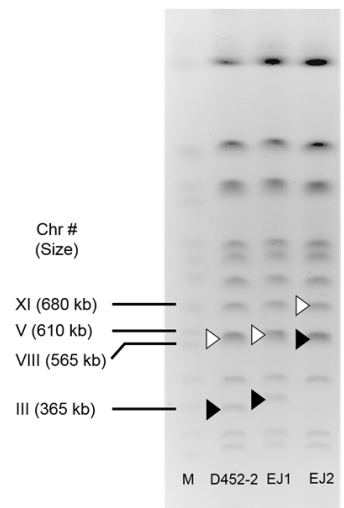
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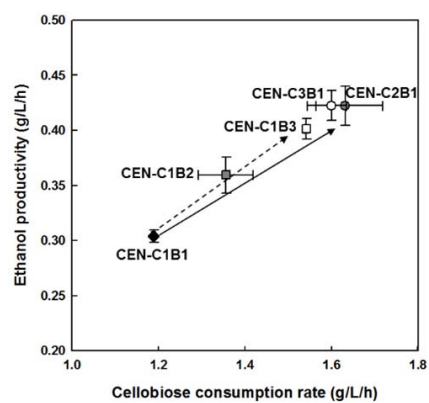
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746 Figure 5.

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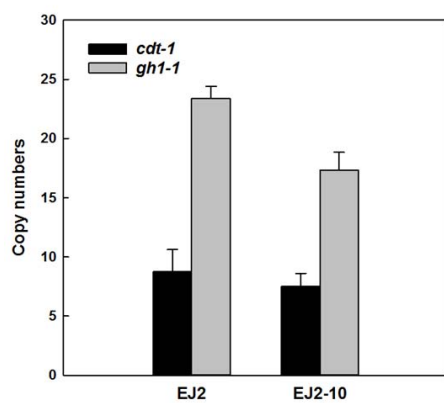
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763 Figure 6.

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780 Table 1. Strains and plasmids used in this study

Strain or plasmid	Description	Reference or source
Strains		
<i>S. cerevisiae</i> D452-2	<i>MATa, leu2, his3, ura3, and can1</i>	(43)
<i>S. cerevisiae</i> CEN.PK2-1D	<i>MATa, ura3-52, trp1-289, leu2-3/112, his3Δ1, MAL2-8C, SUC2</i>	(44)
<i>S. cerevisiae</i> EJ1	D452-2 <i>leu2::LEU2</i> pRS405-gh1-1 <i>ura3::URA3</i> pRS406-cdt-1	This study
<i>S. cerevisiae</i> EJ2	Evolved strain of EJ1	This study
<i>S. cerevisiae</i> CEN-C1B1	CEN.PK2-1D/pRS424-gh1-1/pRS426-cdt-1	This study
<i>S. cerevisiae</i> CEN-C1B2	CEN.PK2-1D/pRS424-gh1-1/pRS425-gh1-1/pRS426-cdt-1	This study
<i>S. cerevisiae</i> CEN-C1B3	CEN.PK2-1D/pRS423-gh1-1/pRS424-gh1-1/pRS425-gh1-1/pRS426-cdt-1	This study
<i>S. cerevisiae</i> CEN-C2B1	CEN.PK2-1D/pRS425-gh1-1/pRS424-cdt-1/pRS426-cdt-1	This study
<i>S. cerevisiae</i> CEN-C3B1	CEN.PK2-1D/pRS425-gh1-1/pRS423-cdt-1/pRS424-cdt-1/pRS426-cdt-1	This study
<i>S. cerevisiae</i> EJ1 <i>svl3Δ</i>	EJ1 <i>svl3::KanMX4</i>	This study
<i>S. cerevisiae</i> EJ1 <i>svl3Δ</i> -1S	EJ1 <i>svl3Δ</i> /pRS423-EJ1 SVL3	This study
<i>S. cerevisiae</i> EJ1 <i>svl3Δ</i> -2S	EJ1 <i>svl3Δ</i> /pRS423-EJ2 SVL3	This study
<i>S. cerevisiae</i> DCDT-1G	D452-2/pRS425-gh1-1/pRS426-cdt-1	(24)
Plasmids		
pRS405	Integration plasmid, <i>LEU2</i>	(45)
pRS406	Integration plasmid, <i>URA3</i>	(45)
pRS423	2 μm origin, <i>HIS3</i>	(46)
pRS424	2 μm origin, <i>TRP1</i>	(46)
pRS425	2 μm origin, <i>LEU2</i>	(46)
pRS426	2 μm origin, <i>URA3</i>	(46)
pRS405-gh1-1	pRS405 <i>PGK1p-gh1-1-CYC1t</i>	This study
pRS423-gh1-1	pRS423 <i>PGK1p-gh1-1-CYC1t</i>	This study
pRS424-gh1-1	pRS424 <i>PGK1p-gh1-1-CYC1t</i>	This study
pRS425-gh1-1	pRS425 <i>PGK1p-gh1-1-CYC1t</i>	(23)
pRS406-cdt-1	pRS406 <i>PGK1p-cdt-1-CYC1t</i>	This study
pRS423-cdt-1	pRS423 <i>PGK1p-cdt-1-CYC1t</i>	This study
pRS424-cdt-1	pRS424 <i>PGK1p-cdt-1-CYC1t</i>	This study
pRS426-cdt-1	pRS426 <i>PGK1p-cdt-1-CYC1t</i>	(23)
pRS423-EJ1 SVL3	pRS423 <i>TDH3p-SVL3</i> from EJ1- <i>CYC1t</i>	This study
pRS423-EJ2 SVL3	pRS423 <i>TDH3p-SVL3</i> from EJ2- <i>CYC1t</i>	This study

781 Table 2. Changes in cellobiose fermentation parameters¹ after serial subcultures²
782

	Value (mean $\pm$ SD)		
	EJ1	EJ2	EJ2-10
$r_{\text{cellobiose}}$	0.18 $\pm$ 0.00	2.73 $\pm$ 0.01	2.62 $\pm$ 0.10
$r_{\text{cellobiose}}^*$	0.09 $\pm$ 0.00	0.49 $\pm$ 0.00	0.46 $\pm$ 0.01
Y_{ethanol}	-	0.40 $\pm$ 0.00	0.40 $\pm$ 0.00
P_{ethanol}	-	1.09 $\pm$ 0.00	1.04 $\pm$ 0.03
P_{ethanol}^*	-	0.19 $\pm$ 0.00	0.18 $\pm$ 0.00

783
784 ¹Fermentation experiments were performed under oxygen-limited conditions (100 rpm) in YP medium with 80 g/L of cellobiose, and an initial
785 cell density was adjusted to 0.29 g/L (OD₆₀₀ = 1). The parameters were measured at 29 hours. The results are the means of duplicate
786 experiments.

787
788 ²Serial subcultures were performed under oxygen-limited conditions (100 rpm) in YP medium with 80 g/L of cellobiose or 20 g/L of glucose,
789 and initial cell density of each subculture was adjusted to 0.29 g/L (OD₆₀₀ = 1).

790
791 Parameters: $r_{\text{cellobiose}}$, cellobiose consumption rate (g/L·h); $r_{\text{cellobiose}}^*$, specific cellobiose consumption rate (g/g cell/h); Y_{ethanol} , ethanol yield
792 (g/g cellobiose); P_{ethanol} , volumetric ethanol productivity (g/L·h); P_{ethanol}^* , specific ethanol productivity (g/g cell/h)
793