

1 **Alternative biotransformation of retinal to retinoic acid or retinol with**
2 **cofactor switch by aldehyde dehydrogenase from *Bacillus cereus***

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21 **ABSTRACT**

22 A novel bacterial aldehyde dehydrogenase (ALDH) that converts retinal to retinoic acid has been first
23 identified in *Bacillus cereus*. The amino acid sequence of ALDH from *B. cereus* (*BcALDH*) was more
24 closely related to mammalian ALDHs than bacterial ALDHs. This enzyme converted not only small
25 aldehydes to carboxylic acids but also the large aldehyde, all-*trans*-retinal to all-*trans*-retinoic acid
26 with NAD(P)⁺. We newly found that *BcALDH* and human ALDH (ALDH1A1) could reduce all-
27 *trans*-retinal to all-*trans*-retinol with NADPH. The catalytic residues in *BcALDH* were Glu266 and
28 Cys300, and the cofactor-binding residues were Glu194 and Glu457. The E266A and C300A variants
29 showed no oxidation activity. The E194S and E457V variants showed 15- and 7.5-fold higher in the
30 catalytic efficiency (k_{cat}/K_m) for the reduction of all-*trans*-retinal than the wild-type enzyme,
31 respectively. The wild-type, E194S, and E457V variant enzymes with NAD⁺ converted 400 μ M all-
32 *trans*-retinal to 210 μ M all-*trans*-retinoic acid as the same amount for 240 min, while with NADPH
33 they converted 400 μ M all-*trans*-retinal to 20, 90, and 40 μ M all-*trans*-retinol, respectively. These
34 results indicate that *BcALDH* and its variants are efficient biocatalysts not only the conversion of
35 retinal to retinoic acid but also the conversion to retinol with cofactor switch and that the retinol
36 production could be increased by the variant enzymes. Therefore, *BcALDH* is a novel bacterial
37 enzyme for the alternative production of retinoic acid and retinol.

38

39 **Importance:** Although mammalian ALDHs have catalyzed the conversion of retinal to retinoic acid
40 with NAD(P)⁺ as a cofactor, a bacterial ALDH involved in the conversion is first characterized. The
41 biotransformation of all-*trans*-retinal to all-*trans*-retinoic acid by *BcALDH* and human ALDH was
42 altered to that to all-*trans*-retinol by cofactor switch using NADPH. Moreover, the production of all-
43 *trans*-retinal to all-*trans*-retinol was changed by mutations at 194 and 457 positions in *BcALDH*. The
44 alternative biotransformation of retinoids was first performed in the present study. These results will
45 contribute to the biotechnological production of retinoids, including retinoic acid and retinol.

46

47 INTRODUCTION

48 Retinoic acid can be formed in the body by two sequential oxidation steps, which convert retinol to
49 retinaldehyde to retinoic acid. Retinol has been utilized in the manufacturing of food products,
50 cosmetics, pharmaceuticals, nutraceuticals, and animal feed additives (8, 11, 31). Retinoic acid, a
51 metabolite of vitamin A (retinol), is essential for a wide range of biological processes in mammals,
52 including cell proliferation, differentiation, and morphogenesis (6, 29). Retinoic acid deficiency
53 impairs vestibular functions (5, 30) and it is associated with Parkinson's disease (13). Retinoic acid is
54 also one of the most important ingredients of skin care products in cosmetics because it is effective
55 against UV radiation-induced damage on skin (2). Therefore, high demands for retinoic acid are arisen
56 from the cosmetic and pharmaceutical industries. However, retinoic acid is commercially produced by
57 only chemical synthesis. Biotechnological production of retinoic acid is limited yet because a
58 selective production route is not developed.

59 In mammals, the biosynthesis of retinoic acid from retinol is occurred by two sequential enzyme
60 reactions; Retinol is converted to retinal by the oxidation reaction of retinol dehydrogenase, and
61 retinal is then converted to retinoic acid by the additional oxidation of retinal dehydrogenase
62 (RALDH), which belongs to the aldehyde dehydrogenase (ALDH) family. ALDHs (enzyme
63 classification (EC) number 1.2.1) have been well studied in mammalian for their biochemical
64 properties and catalytic mechanisms (4, 9, 28). The oxidation of retinal to retinoic acid with NAD(P)^+
65 has been catalyzed by mammalian ALDHs (Fig. 1A), however, the reduction of retinal to retinol by
66 these enzymes has not been reported (Fig. 1B) up to date. In spite of numerous studies on mammalian
67 ALDHs, there are no reports of bacterial enzymes involved in the conversion of retinal to retinoic acid.
68 Since the bacterial ALDHs showed diverse and versatile catalytic power for oxidation, we searched
69 bacterial homologs of human ALDH (ALDH1A1) involved in the oxidation of retinal to retinoic acid.
70 Among bacterial ALDHs, ALDH from *Bacillus cereus* (BcALDH) was selected because the enzyme
71 exhibited the highest sequence identity with human ALDH.

72 In this study, the mammalian-like *aldh* gene from *B. cereus* was cloned and its purified enzyme was
73 characterized. Mutation for the conserved catalytic and cofactor-binding residues involved in the

74 oxidation and reduction with cofactor selectivity of *Bc*ALDH was performed, and the variants with
75 increased activity for oxidation and reduction were obtained. The alternative biotransformation of all-
76 *trans*-retinal to all-*trans*-retinol or all-*trans*-retinoic acid by the wild-type and variant enzymes was
77 first attempted with cofactor switch.

78

79 MATERIALS AND METHODS

80 **Gene cloning and site-directed mutagenesis.** The bcere0002_25940 gene (1,485 bp) encoding an
81 ALDH from *B. cereus* and the *aldh1a1* gene (1,506 bp) encoding an ALDH1A1 from human were
82 amplified by PCR using the genomic DNA isolated from *B. cereus* and human cDNA as a template
83 respectively. The primer sequences used for gene cloning were based on the DNA sequence of
84 *Bc*ALDH (GenBank accession No. ACLT01000061.1), and forward (5'-
85 GGATCCGATGTTAAAGACAAATATTGAGCTG-3') and reverse primers (5'-
86 GCGGCCGCTTACTTTATTACTTTATATTTACCCAAACA-3') were designed to introduce the
87 underlined BamHI and NotI restriction sites, respectively. The sequences of the oligonucleotide
88 primers used for gene cloning were based on the cDNA sequence of human ALDH (GenBank
89 accession No. AAP35567.1), and forward (5'-GTCGACATGTCATCCTCAGGCACGCCA-3') and
90 reverse primers (5'-CTCGAGTGAGTTCTTCTGAGAGATTTTCAC-3') were designed to introduce
91 the underlined SalI and XhoI restriction sites, respectively. The amplified DNA fragments were
92 purified using a QIAquick gel extraction kit (Qiagen, Germany), and the purified DNA fragments were
93 ligated into the pRSFDuet-1 vector (Novagen, Diego, CA) digested with the same restriction enzymes.
94 The resulting plasmid was transformed into *Escherichia coli* ER2566 (New England Biolabs,
95 Hertfordshire, UK). Site-directed mutagenesis was performed using a QuikChange kit (Stratagene, La
96 Jolla, CA).

97 **Protein purification and molecular mass determination.** *Bc*ALDH protein and human ALDH
98 was expressed and purified as described by Ngo *et al* (27) and Morgan *et al* (25), respectively. The
99 subunit molecular mass of *Bc*ALDH was determined by SDS-PAGE under denaturing conditions,
100 using prestained protein makers as reference proteins. The molecular mass of the native enzyme was

101 determined using Sephacryl S-300 high resolution gel filtration chromatography (GE Healthcare,
102 Pittsburgh, PA). The purified enzyme solution was applied to the gel filtration column and eluted at a
103 flow rate of 0.3 ml min⁻¹ with 50 mM PIPES buffer (pH 7.0) containing 150 mM NaCl. The column
104 was calibrated using thyroglobulin (689 kDa), ferritin (453 kDa), aldolase (158 kDa), and conalbumin
105 (75 kDa) as reference proteins. The mass of the native enzyme was calculated by comparing the
106 migration time of the enzyme with those of the reference proteins.

107 **Enzyme assay.** Unless otherwise stated, the enzymatic reaction of *Bc*ALDH for the conversion of
108 all-*trans*-retinal to all-*trans*-retinoic acid or all-*trans*-retinol was performed at 37 °C in 50 mM PIPES
109 buffer (pH 7.0) or at 40 °C in 50 mM HEPPS buffer (pH 7.5), respectively, containing 0.2 mM all-
110 *trans*-retinal, 0.4 unit ml⁻¹ (1 mg ml⁻¹) enzyme, 5% (v/v) methanol, 4 mM 2-mercaptoethanol (2-ME),
111 and 1 mM NAD⁺ or NADPH, respectively, for 30 min. Methanol at 5% (v/v) was used to solubilize
112 all-*trans*-retinal (12). One unit was defined as the amount of enzyme required to produce 1 pmol of
113 all-*trans*-retinoic acid or all-*trans*-retinol per min from all-*trans*-retinal at 37 °C and pH 7.0 or at
114 40 °C and pH 7.5, respectively, for *Bc*ALDH activity and at 25 °C and pH 7.0 for human ALDH. The
115 K_m (μM) and k_{cat} (min⁻¹) were determined by the Lineweaver-Burk plot derived from the Michaelis-
116 Menten equation. To determine the kinetic parameters for aldehyde substrates, the concentrations of
117 retinoids were in the range of 2 to 40 μM and those of acetaldehyde and benzaldehyde were in the
118 range of 20 to 1,000 μM with 1 mM NAD⁺. To determine the kinetic parameters for cofactors, the
119 concentrations of cofactors were in the range of 1 to 200 μM with 200 μM all-*trans*-retinal.

120 **Conversion of all-*trans*-retinal into all- *trans*-retinoic acid or all-*trans*-retinol.** The conversion
121 of all-*trans*-retinal to all-*trans*-retinoic acid or all-*trans*-retinol by the wild-type and variant enzymes
122 of *Bc*ALDH was performed under the same conditions of enzyme assay except for 0.4 mM all-*trans*-
123 retinal, 4 mM NAD⁺ or NADPH for 240 min, respectively. The conversion by human ALDH was
124 performed in 50 mM EPPS buffer (pH 8.0) containing 0.4 mM all-*trans*-retinal, 0.4 unit/ml enzyme, 1
125 mM dithiothreitol (DTT), and 4 mM NAD⁺ or NADPH at 25°C for 80 min.

126 **Analytical methods.** The same volume of methanol was added to the reaction solution, and the
127 resulting solution was mixed with hexane and kept on ice for 5 min. After centrifugation at 10,000 × *g*

128 for 30 min at 4°C, substrates and products in the supernatant were analyzed by an HPLC system
129 (Agilent 1200, Santa Clara, CA) equipped with an Atlantis dC18 column (4.6 × 150 mm, Waters,
130 Milford, MA) and a UV detector at 350 nm for retinal and retinoic acid, at 210 nm for acetaldehyde
131 and acetic acid, and at 250 nm for benzaldehyde and benzoic acid. The column was eluted with a
132 mixture of acetonitrile and 1% ammonium acetate in water with 80:20 (v/v) as the mobile phase at a
133 flow rate of 1.2 ml min⁻¹ at 30°C.

134

135 RESULTS

136 **Sequence alignment of ALDHs.** The amino acid sequence of *Bc*ALDH was compared with those of
137 mammalian and bacterial ALDHs using ClustalX2 (21). The proposed catalytic residues Glu266 and
138 Cys300, were completely conserved for all of the ALDHs. The Glu194, Glu246, Ser247, and Glu457
139 were conserved for all of the ALDHs among the proposed cofactor-binding residues Glu194,
140 Gly246–Lys252, and Glu457 (32) (Fig. 2A). The amino acid sequence of *Bc*ALDH had 54.2, 54.0,
141 51.1, 50.9, 49.9, 49.7, 48.9, and 48.6 % identity with ALDHs from eukaryotes, including orangutan,
142 *Aspergillus nidulans*, human, sheep, monkey, bovine, rat, and mouse, respectively, and had 42.2, 41.7,
143 41.6, 41.2, 40.4, and 22.1 % identity with ALDHs from bacteria, including *Cronobacter sakazakii*, *E.*
144 *coli*, *Shigella flexneri*, *Serratia proteamaculans*, *Klebsiella pneumoniae*, and *Crocospaera watsonii*
145 respectively. A phylogenic tree was constructed based on the genetic characteristics using
146 CLUSTALW and MEGA6 (33), and *Bc*ALDH showed more closed relation to mammalian ALDHs
147 than bacterial ALDHs in the phylogenic tree (Fig. 2B).

148 **Molecular mass of *Bc*ALDH and identification of all-*trans*-retinoic acid.** The purified *Bc*ALDH
149 showed a single band in SDS-PAGE with a molecular mass of approximately 54 kDa (Fig. S1A),
150 which is consistent with the calculated value of 54 kDa based on the 494 amino acids plus the hexa-
151 histidine tag. The native protein existed as a tetramer with a molecular mass of 216 kDa (Fig. S1B), as
152 determined by gel filtration chromatography based on the masses of the reference proteins. LC-MS
153 analysis was performed to identify the product obtained from all-*trans*-retinal by the reaction of
154 *Bc*ALDH (Fig. S6A). The total molecular mass of the product was shown as a peak at *m/z* 301.19,

155 corresponding to the exactly same molecular mass of the all-*trans*-retinoic acid standard (Fig. S6B)
156 (23), indicating that the peak was all-*trans*-retinoic acid.

157 **Effects of metal ions, temperature, pH, and antioxidants on the *Bc*ALDH activity.** The effect
158 of metal ions on the activity of *Bc*ALDH was evaluated in the presence of metal ions at 1 mM. The
159 oxidation activity of *Bc*ALDH that produces all-*trans*-retinoic acid (Fig. S2A) and reduction activity
160 (Fig. S3A) that produces all-*trans*-retinol from all-*trans*-retinal were not activated by divalent cations.
161 The maximum activity was observed at 37°C and pH 7.0 for oxidation (Fig. S2B and S2C) and 40°C
162 and pH 7.5 for reduction (Fig. S3B and S3C). The effect of antioxidants to prevent the disruption of
163 retinal and to restore the catalytic residue cysteine (7, 26), such as butylated hydroxytoluene (BHT),
164 DTT, hydroquinone (HQ), and 2-ME on the activity of *Bc*ALDH was investigated. Enzyme activity
165 both for the oxidation and reduction was the highest when 2-ME was used as the antioxidant (Fig.
166 S4A), and its optimal concentration was 4 mM (Fig. S4B). Thus, 4 mM 2-ME was used for the
167 enzymatic reactions. Cysteine residue in ALDH with a thiol group as a functional group has been
168 involved in the catalytic activity. The addition of DTT or 2-ME reduces the thiol group of cysteine to
169 a thiolate group by changing the antioxidant to the oxidized form (7, 26). 2-ME has a reducing power
170 and increased enzyme solubility, which may result in the increased activity for ALDH by 2-ME.

171 **Substrate- and cofactor-specificity of *Bc*ALDH.** The oxidation activity for aldehyde substrates
172 was measured in the presence of 1 mM NAD⁺. The specific activity followed the order benzaldehyde,
173 acetaldehyde, and all-*trans*-retinal, and no activity was observed for 9-*cis*-retinal and 13-*cis*-retinal
174 (Table 1). All-*trans*-retinal showed the lowest K_m , which was 14- and 29-fold lower than the K_m
175 values of acetaldehyde and benzaldehyde, respectively. The kinetic parameters of *Bc*ALDH with
176 cofactor were determined using all-*trans*-retinal (Table 2). All-*trans*-retinal was oxidized to all-*trans*-
177 retinoic acid with NADP⁺ as well as NAD⁺. The K_m and k_{cat} of NAD⁺ for the oxidation of all-*trans*-
178 retinal were similar to those of NADP⁺. *Bc*ALDH reduced all-*trans*-retinal to all-*trans*-retinol with
179 NADPH, although the reduction activity was much lower than the typical oxidation activity. However,
180 the reduction activity for all-*trans*-retinal was not detected with NADH. For other substrates,
181 including benzaldehyde, acetaldehyde, 9-*cis*-retinal, and 13-*cis*-retinal, the atypical reduction activity

182 of *Bc*ALDH was not found with both of NADH and NADPH.

183 The production of all-*trans*-retinoic acid and all-*trans*-retinol was investigated by supplementing
184 NADH to NAD⁺ or NADP⁺ to NADPH at the ratios ranging from 0:4 to 4:0 mM, to make up a total
185 concentration of 4 mM. All-*trans*-retinoic acid increased as increasing the ratio of NAD⁺ to NADH.
186 However, all-*trans*-retinoic acid decreased and all-*trans*-retinol increased as increasing the ratio of
187 NADPH to NADP⁺ (Fig. S7A and S7B). When NADH was added to 4 mM NAD⁺, all-*trans*-retinoic
188 acid decreased as increasing the concentration of additional NADH (Fig. S7C). However, all-*trans*-
189 retinoic acid increased and all-*trans*-retinol decreased as increasing the concentration of additional
190 NADP⁺ supplemented with 4 mM NADPH (Fig. S7D). Thus, all-*trans*-retinoic acid production is
191 stimulated by decreasing the ratio of NADPH to NADP⁺ whereas all-*trans*-retinol production is
192 stimulated by increasing the ratio.

193 The effect of cofactor concentration on the conversion of all-*trans*-retinoic acid or all-*trans*-retinol
194 from all-*trans*-retinal to by *Bc*ALDH was performed by varying the concentration of NAD⁺ or
195 NADPH, respectively (Fig. 3). The conversion of all-*trans*-retinal to all-*trans*-retinoic acid or all-
196 *trans*-retinol was increased up to approximately 5 mM cofactor. However, above this concentration,
197 the formation of products did not increase. Thus, the optimal concentration for the conversion of all-
198 *trans*-retinal to all-*trans*-retinoic acid or all-*trans*-retinol was 5 mM.

199 **Catalytic and cofactor-binding residues of *Bc*ALDH involved in the oxidation and reduction**
200 **of all-*trans*-retinal.** Site-directed mutagenesis for the catalytic and cofactor-binding residues involved
201 in the oxidation and reduction of all-*trans*-retinal was performed, and kinetic parameters of the wild-
202 type and variant enzymes were determined (Table 3). The E266A and C300A variants for the catalytic
203 residues abolished the oxidation activity, and the catalytic efficiency (k_{cat}/K_m) of the C300S variant for
204 the oxidation of all-*trans*-retinal with NAD⁺ was 23.5% of that of the wild-type enzyme. The catalytic
205 efficiencies of the E194S and E457V variants for the cofactor-binding residues for the reduction of
206 all-*trans*-retinal with NADPH were 15- and 6.2-fold higher than that of the wild-type enzyme,
207 respectively. The catalytic efficiency of the E194S variant for the oxidation with NAD⁺ was 1.3-fold
208 lower than that of the wild-type enzyme, whereas the catalytic efficiency of the E457V variant for the

209 oxidation was 7.5-fold higher.

210 **Conversion of all-*trans*-retinal to all-*trans*-retinoic acid or all-*trans*-retinol by the wild-type,**
211 **E194S, and E457V variant enzymes of *Bc*ALDH with cofactor switch.** Time-course reactions for
212 the production of all-*trans*-retinoic acid or all-*trans*-retinol were performed from 400 μ M all-*trans*-
213 retinal in the presence of 5 mM NAD⁺ using the wild-type, E194S, and E457V variant enzymes of
214 *Bc*ALDH. After 240 min, the concentrations of all-*trans*-retinoic acid produced from 400 μ M all-
215 *trans*-retinal by the wild-type E194S and E457V variant enzymes were the same as 210 μ M, with a
216 molar conversion yield of 53% (Fig. 4A). The concentrations of all-*trans*-retinol produced from 400
217 μ M all-*trans*-retinal by the wild-type, E194S, and E457V variant enzymes were 20, 90, and 40 μ M,
218 respectively, with molar conversions yield of 5, 24, and 11%, respectively (Fig. 4B). Glu194 of
219 *Bc*ALDH was corresponded to Glu196 of human ALDH. The production of all-*trans*-retinoic acid or
220 all-*trans*-retinol from all-*trans*-retinal by the wild-type and E196S variant enzymes of human ALDH,
221 the production of all-*trans*-retinoic acid or all-*trans*-retinol was performed. After 80 min, the
222 produced concentration of all-*trans*-retinoic acid by the wild-type enzyme was almost the same (5 μ M)
223 with that by the E196S variant (Fig. S5A). However, the concentration of all-*trans*-retinol produced
224 by the E196S variant (13 μ M) was 4.6-fold higher than that by the wild-type enzyme (3 μ M) (Fig.
225 S5B). Therefore, the effect of the E194S variant of *Bc*ALDH on the production of all-*trans*-retinol or
226 all-*trans*-retinoic acid was the similar to that of the E196S variant of human ALDH.

227

228 DISCUSSION

229 ALDHs catalyze the oxidation of aldehydes to carboxylic acids, which are given from EC 1.2.1.1 to
230 EC 1.2.1.97. EC 1.2.1.1 and EC 1.2.1.2 are *S*-(hydroxymethyl)glutathione dehydrogenase and formate
231 dehydrogenase respectively. EC 1.2.1.3, EC 1.2.1.4, and EC 1.2.1.5 are depending on NAD⁺, NADP⁺,
232 and NAD(P)⁺, respectively. Other fourth digits are specified by preferred aldehydes. Among them,
233 RALDH (EC 1.2.1.36) catalyzes the oxidation of retinal to retinoic acid. *Bc*ALDH was identified as
234 EC 1.2.1.5 (3) because it converted aldehydes to carboxylic acids using both NAD⁺ and NADP⁺.
235 *Bc*ALDH also exhibited RALDH activity with a high sequence identity to RALDH. Although retinol

236 dehydrogenase (EC 1.1.1.300) catalyzes the conversion of retinal to retinol using NAD(P)H, the
237 enzyme is belonged to other subclass groups (EC 1.1) as alcohol dehydrogenases.

238 Retinoic acid has been synthesized by the oxidation activity of mammalian ALDHs from retinal
239 (Fig. 1A). Retinoic acid plays a key role in embryonic development and the formation of retinoic acid
240 is generally considered as a mammalian-specific reaction (14). Bacterial ALDHs are known for
241 enzymes that transform small aldehydes such as acetaldehyde and benzaldehyde to their
242 corresponding carboxylic acids for detoxification (16). Thus far, no evidence for the formation
243 retinoic acid by bacterial ALDHs has been provided. To find a bacterial ALDH that converts retinal to
244 retinoic acid, the sequences of bacterial ALDHs were aligned with those of mammalian ALDHs
245 showing all-*trans*-retinal conversion (Fig. 2A), and a phylogenetic tree with bacterial and mammalian
246 ALDHs was constructed (Fig. 2B). As a result, human ALDH1 and *B. cereus* ALDHs were grouped
247 in the same branch of ALDH1 family in the phylogenetic tree. ALDH1 family has been known to
248 involve in the conversion of the large aldehyde, all-*trans*-retinal to all-*trans*-retinoic acid (32),
249 suggesting that *Bc*ALDH can transform retinal to retinoic acid. This suggestion was verified by
250 determining the converting activity of *Bc*ALDH for retinal. Therefore, this enzyme is the first retinoic
251 acid-producing enzyme among bacterial ALDHs.

252 Glu266, Cys300, Glu194, and Glu457 of *Bc*ALDH were selected based on sequence alignment
253 with mammalian ALDHs (Fig. 2A) because the Glu266 and Cys300 residues have been known as
254 catalytic residues for oxidation activity for all-*trans*-retinal (10, 34) and the Glu194 and Glu457
255 residues were the cofactor-binding residues in mammalian ALDHs (1, 24, 27, 34). The E266A and
256 C300A variants of *Bc*ALDH completely lost the oxidative activity (Table 3). ALDHs favor NADPH if
257 the residue at 194 position has a short polar side chain like Ser or Thr and prefer NAD⁺ if the residue
258 at 194 position has a longer side chain like Glu (35). The substitution of smaller residue at 194
259 position could help the reduction of *Bc*ALDH by the higher usage of NADPH. Thus, the reduction
260 activity of the E194S variant with NADPH was significantly increased, compared to that of the wild-
261 type enzyme (Table 3). The acidic residue of Glu at 457 position of *Bc*ALDH is uncommon in
262 mammalian ALDHs, which have the hydrophobic residue Val or Leu at the corresponding position

(Fig. 2A). The residue at 457 position is located in the substrate binding channel for the long hydrophobic chain of all-*trans*-retinal (32). The activity of the E457V variant increased in both oxidation and reduction of all-*trans*-retinal (Table 3). Thus, we speculate that the increased hydrophobicity via the substitution of Glu to Val at 457 position may facilitate the substrate binding.

Mammalian-like *Bc*ALDH catalyzes not only the oxidation of all-*trans*-retinal to all-*trans*-retinoic acid but also the reduction of all-*trans*-retinal to all-*trans*-retinol with cofactor switch. Although the production of all-*trans*-retinoic acid by the E457V and E194S variants of *Bc*ALDH was the same as that by the wild-type enzyme (Fig. 4A), the production of all-*trans*-retinol by the E457V and E194S variants was higher (Fig. 4B). The results imply that we may engineer *Bc*ALDH more suitable for the specific production of all-*trans*-retinol. All-*trans*-retinal has been produced from β -carotene by β -carotene 15,15'-oxygenases (18-20) and from glycerol by metabolically engineered *E. coli* expressing carotenoid synthesizing enzymes and carotenoid oxygenase (15, 22). However, the biotechnological production of retinoic acid from retinal has not been attempted yet because convincing metabolic pathway or specific enzyme related to retinoic acid production in prokaryotes has not been identified. We first found *Bc*ALDH as an effective enzyme for the conversion of retinal to retinoic acid. *Bc*ALDH can be used with carotenoid oxygenase to the production of all-*trans*-retinoic acid from β -carotene by enzymatic reactions or whole cell production with cofactor regeneration system. Its gene can be introduced into all-*trans*-retinal-producing metabolic engineered cells for the production of all-*trans*-retinoic acid from relevant substrate such as glucose or glycerol for the cost effective industrial production.

In summary, *Bc*ALDH, which was found as a mammalian-like ALDH in the phylogenetic tree with mammalian and bacterial ALDHs, was first identified as a bacterial retinoic acid-producing enzyme from retinal. We newly found that *Bc*ALDH and human ALDH also could reduce retinal to retinol with cofactor switch. The reduction activity of *Bc*ALDH was increased by its E457V and E194S variants. The wild-type *Bc*ALDH and its variants can be used for the alternative biotransformation of retinal to retinoic acid or retinol and the genes can be introduced in retinal-producing metabolically engineered cells for retinoic acid or retinol production. Our work is an initiative for the

290 biotechnological production of retinoids, including retinoic acid and retinol, using a specific bacterial
291 enzyme. Our approach can also contribute to find a novel biocatalyst that has not been discovered yet
292 from prokaryotic origins based on the information of eukaryotic biocatalysts.

293

294 The authors declare no conflict of interest

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- 391
- 392

393 **Figure Legends**

394

395 **FIG 1** Schematic diagrams of reactions catalyzed by aldehyde dehydrogenase. (A) Oxidation reaction
396 of all-*trans*-retinal to all-*trans*-retinoic acid reported by mammalian ALDH. (B) Oxidation reaction of
397 all-*trans*-retinal to all-*trans*-retinoic acid as well as reduction reaction of all-*trans*-retinal to all-*trans*-
398 retinol identified by *Bc*ALDH and human ALDH in this study.

399

400 **FIG 2** (A) Sequence alignment of bacterial and mammalian ALDHs. The amino acid sequences of
401 ALDHs from *B. cereus*, *E. coli*, *Shigella flexneri*, *Klebsiella pneumonia*, *Cronobacter sakazakii*,
402 *Serratia proteamaculans*, *Crocospaera watsonii*, bovin ALDH1A1, sheep ALDH, human ALDH1A1,
403 mouse ALDH1A1, rat ALDH1A1, human ALDH1A2, human ALDH1A3, and human ALDH8A1 are
404 aligned. The GenBank accession numbers of these ALDHs are KFL74159.1, BAA14869.1,
405 ABF03518.1, ABR76453.1, ABU77332.1, ABV41168.1, CCQ55365.1, AAI05194.1, AAA85435.1,
406 AAP35567.1, AAH54386.1, AAC53305.1, BAG54714.1, AAH69274.1, and BAD96568.1,
407 respectively. The proposed catalytic and active-site residues are highlighted with black box. Cofactor
408 binding residues are presented with white letters in black backgrounds. Asterisk, colon, and dot
409 present identity, conserved substitutions, and semi-conserved substitution, respectively. (B)
410 Phylogenetic tree of mammalian and bacterial ALDHs. The evolutionary history was inferred using
411 the UPGMA method (17). The optimal tree with the sum of branch length = 3.73188906 is shown.
412 The tree is drawn to scale, with branch lengths (next to the branches) in the same units as those of the
413 evolutionary distances used to infer the phylogenetic tree. The evolutionary distances were computed
414 using the Poisson correction method and are in the units of the number of amino acid substitutions per
415 site. The analysis involved 18 amino acid sequences. All positions containing gaps and missing data
416 were eliminated. There were a total of 421 positions in the final dataset. Evolutionary analyses were
417 conducted in MEGA6 (33).

418

419 **FIG 3** Effect of cofactor concentration on the conversion of all-*trans*-retinal to all-*trans*-retinoic acid

420 or all-*trans*-retinol. The cofactor concentrations used for investigating the effect were significant
421 higher than K_m values. The conversion of all-*trans*-retinal to all-*trans*-retinoic acid using NAD⁺ (●) or
422 NADP⁺ (□) was performed in 50 mM PIPES buffer (pH 7.0) containing 0.4 mM all-*trans*-retinal, 0.4
423 unit/ml enzyme, and 1 mM 2-ME at 37 °C for 30 min. The conversion of all-*trans*-retinal to all-*trans*-
424 retinol using NADPH (■) was performed in 50 mM EPPS buffer (pH 7.5) containing 0.4 mM all-
425 *trans*-retinal, 0.4 unit/ml enzyme, and 1 mM 2-ME at 40 °C for 30 min. Data represent the means of
426 three experiments and error bars represent standard deviation.

427

428 **FIG 4** Biotransformation of all-*trans*-retinal to retinoic acid or retinol by the wild-type, E194S, and
429 E457V variant enzymes of *Bc*ALDH with cofactor switch. (A) Time course reactions for the
430 conversion of all-*trans*-retinal to all-*trans*-retinoic acid by the wild-type (●), E194S (○), and E457V
431 (▲) variant enzymes of *Bc*ALDH using NAD⁺. The reactions were performed in 50 mM PIPES buffer
432 (pH 7.0) containing 0.4 mM all-*trans*-retinal, 0.4 unit/ml enzyme, 4 mM 2-ME, and 5 mM NAD⁺ at
433 37°C for 240 min. (B) Time course reactions for the conversion of all-*trans*-retinal to all-*trans*-retinol
434 by the wild-type (●), E194S (○), and E457V (▲) variant enzymes of *Bc*ALDH using NADPH. The
435 reactions were performed in 50 mM EPPS buffer (pH 7.5) containing 0.4 mM all-*trans*-retinal, 0.4
436 unit/ml enzyme, 4 mM 2-ME, and 5 mM NADPH at 40°C for 240 min.

437

TABLE 1 Kinetic parameters for aldehyde substrates of *Bc*ALDH in the oxidation activity using NAD⁺ as a co-substrate^a

Substrate	Co-substrate (mM)	Product	Specific activity (U/mg)	K_m (μ M)	k_{cat} (min ⁻¹)	k_{cat}/K_m (mM ⁻¹ min ⁻¹)
All- <i>trans</i> -retinal	NAD ⁺ (1)	All- <i>trans</i> -retinoic acid	461 $\pm$ 10	7 $\pm$ 0.1	0.28 $\pm$ 0.03	40 $\pm$ 0.2
9- <i>cis</i> -Retinal	NAD ⁺ (1)	9- <i>cis</i> -Retinoic acid	NC ^b	NC	NC	NC
13- <i>cis</i> -Retinal	NAD ⁺ (1)	13- <i>cis</i> -Retinoic acid	NC	ND ^c	ND	ND
Acetaldehyde	NAD ⁺ (1)	Acetic acid	2,550 $\pm$ 10	95 $\pm$ 2	11 $\pm$ 0.4	111 $\pm$ 5
Benzaldehyde	NAD ⁺ (1)	Benzoic acid	3,370 $\pm$ 16	200 $\pm$ 5	13 $\pm$ 0.8	65 $\pm$ 4

^a Data represent the mean of three experiments and $\pm$ values represent standard deviations.

^b NC, not calculated by the analytical methods used in this study due to limits of sensitivity.

^c ND, not detected by the analytical methods used in this study.

TABLE 2 Kinetic parameters for cofactors of *Bc*ALDH in the oxidation and reduction reactions using all-*trans*-retinal as a cosubstrate^a

Substrate	Co-substrate (mM)	Reaction	K_m (μ M)	k_{cat} (min^{-1})	k_{cat}/K_m ($\text{mM}^{-1} \text{min}^{-1}$)
NAD ⁺	All- <i>trans</i> -retinal (0.2)	Oxidation	4.0 $\pm$ 0.2	0.10 $\pm$ 0.01	25 $\pm$ 0.1
NADP ⁺	All- <i>trans</i> -retinal (0.2)	Oxidation	4.2 $\pm$ 0.3	0.11 $\pm$ 0.02	26 $\pm$ 0.2
NADPH	All- <i>trans</i> -retinal (0.2)	Reduction	40 $\pm$ 1.0	0.033 $\pm$ 0.00	0.83 $\pm$ 0.05
NADH	All- <i>trans</i> -retinal (0.2)	Reduction	ND ^b	ND	ND

^a Data represent the mean of three experiments and $\pm$ values represent standard deviations.

^b ND, not detected by the analytical methods used in this study.

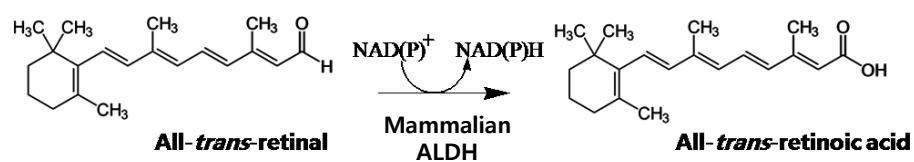
TABLE 3 Kinetic parameters of the wild-type and variant enzymes of *Bc*ALDH related to catalytic and cofactor-binding residues for all-*trans*-retinal in the oxidation and reduction activities ^a

Enzyme	Cofactor	Specific activity (U mg ⁻¹)	K_m (μ M)	k_{cat} (min ⁻¹)	k_{cat}/K_m (mM ⁻¹ min ⁻¹)
Wild-type	NAD ⁺	461 $\pm$ 10	7.0 $\pm$ 0.13	0.28 $\pm$ 0.03	40 $\pm$ 0.2
	NADPH	136 $\pm$ 6	130 $\pm$ 5	0.12 $\pm$ 0.01	0.90 $\pm$ 0.08
E194S	NAD ⁺	493 $\pm$ 3	10 $\pm$ 0.5	0.30 $\pm$ 0.01	30 $\pm$ 0.1
	NADPH	408 $\pm$ 11	29 $\pm$ 0.9	0.39 $\pm$ 0.01	14 $\pm$ 0.1
E266A	NAD ⁺	ND ^b	ND	ND	ND
	NADPH	80 $\pm$ 2.0	920 $\pm$ 5	0.07 $\pm$ 0.003	0.07 $\pm$ 0.005
C300A	NAD ⁺	ND	ND	ND	ND
	NADPH	68 $\pm$ 2.0	38 $\pm$ 2.0	0.04 $\pm$ 0.003	1.1 $\pm$ 0.05
C300S	NAD ⁺	38.6 $\pm$ 2	3.70 $\pm$ 0.05	0.04 $\pm$ 0.002	9.4 $\pm$ 0.05
	NADPH	12 $\pm$ 0.5	420 $\pm$ 3	0.04 $\pm$ 0.002	0.08 $\pm$ 0.01
E457V	NAD ⁺	2,536 $\pm$ 11	6.25 $\pm$ 0.5	1.54 $\pm$ 0.1	246 $\pm$ 6
	NADPH	260 $\pm$ 2	34 $\pm$ 0.5	0.23 $\pm$ 0.02	6.76 $\pm$ 0.2

^a Data represent the mean of three experiments and $\pm$ values represent standard deviations.

^b ND, not detected by the analytical methods used in this study.

A



B

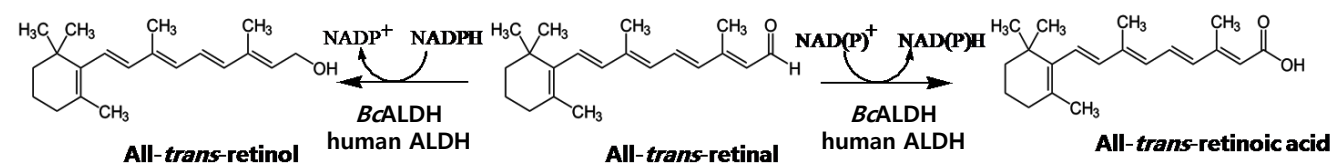


FIG 1

FIG 2

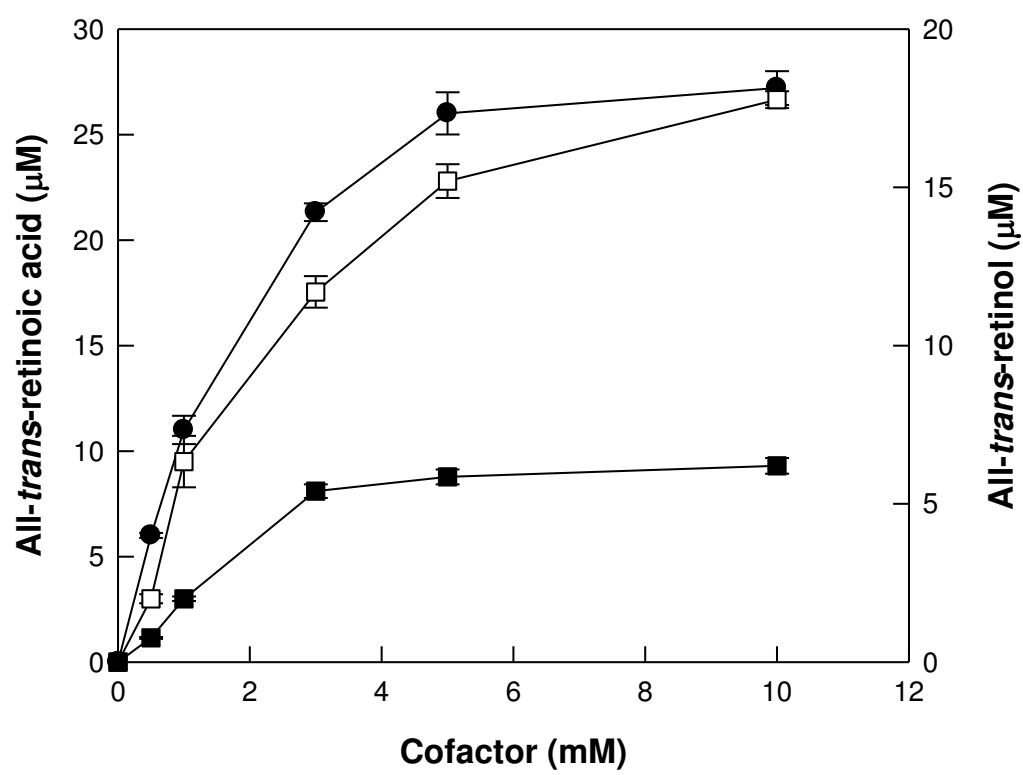


FIG 3

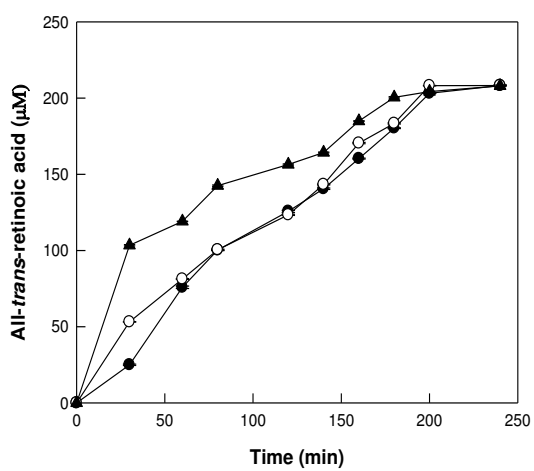
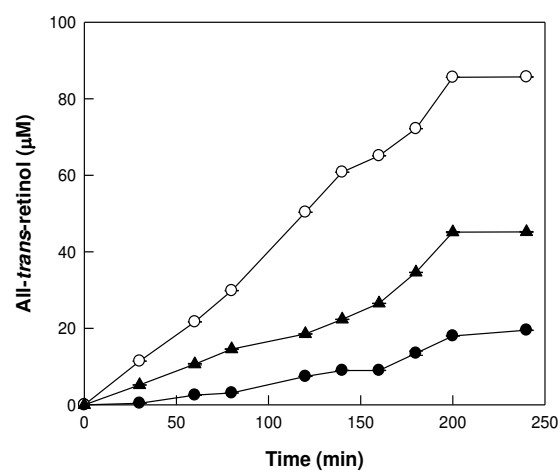
A**B**

FIG 4