



Cite this: DOI: 10.1039/c4cp05023a

An integrated superhydrophobic-plasmonic biosensor for mid-infrared protein detection at the femtomole level†

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In this work we present an integrated biosensor that enables FTIR (Fourier Transform-Infrared) detection of analytes contained in diluted solutions. The fabricated nanosensor allows for the detection of proteins through the identification of the fine structure of their amide I and II bands, up to the nanomolar concentration range. We exploited two distinct effects to enhance the sensitivity: (i) the concentration effect due to the presence of the superhydrophobic surface that conveys molecules dispersed in solution directly inside the focus of a FTIR spectromicroscope; (ii) the plasmonic resonance of the nanoantenna array that provides electromagnetic field enhancement in the amide I and II spectral region (1500–1700 cm⁻¹). We demonstrate the detection of ferritin in the nanomolar concentration range, a blood protein that is usually available in small amounts in typical blood samples.

Received 31st October 2014,
Accepted 10th February 2015

DOI: 10.1039/c4cp05023a

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Introduction

Over the last decade a large effort has been devoted to the development of optical biosensors enabling the detection of analytes in highly diluted solutions. Among the sensors being developed, those offering a precise detection of blood proteins with molar concentration precision in the 10⁻⁹ mol l⁻¹ range are indeed emerging as a fundamental prerequisite for the early diagnosis of relevant pathologies including Alzheimer's disease and several types of cancer. Several classes of ultrasensitive devices have been proposed, such as fluorescence-based biosensors,¹ refractive index biosensors,^{2–4} Raman spectroscopy and infrared biosensors operating in both the near-infrared (NIR) and mid-infrared (MIR) ranges.^{5–8} Among these devices, those operating in the MIR range are very promising since they directly target specific biomolecular classes like proteins or lipids and, in the case of proteins, they allow gathering information about the secondary structure content and conformational changes through the spectroscopic determination of the fine structure

of the amide I and II bands of proteins centered, respectively, at 1660 cm⁻¹ and 1537 cm⁻¹.⁹

However two fundamental shortcomings hinder the MIR biosensor performances. First, at low protein concentrations, device sensibility is usually limited by the efficiency of analyte delivery to the sensing surface that often exploits passive transport pathways, thus hampering the fabrication of high throughput and cost effective devices. Second, MIR spectroscopy, being a linear absorption technique, is plagued by a strong thermal emission background that makes the signal-to-noise ratio of few-molecule thick samples very small when compared *e.g.* to the fluorescent-label based methods, which are virtually background-free.

To overcome these two limitations many strategies have been adopted.

The analyte transport problem has been recently addressed by De Angelis and co-workers.¹⁰ They employed superhydrophobic microstructured surfaces to drive and concentrate molecules over the sensing area of a Raman microspectroscopy nanosensor. The same analyte concentration technique based on superhydrophobic surfaces was then used for X-ray diffraction and X-ray fluorescence measurements, mass spectroscopy measurements and for the precise positioning of DNA strands.^{11–17}

The development of plasmonic nanostructures with the ability to provide local electromagnetic field enhancement in nanometer-scale hotspots has recently emerged as an effective method to improve the signal-to-background ratio of MIR biosensors, enabling the detection of very low amounts of proteins down to zeptomoles.⁶ Therein, the key feature was a careful

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† Electronic supplementary information (ESI) available. See DOI: 10.1039/c4cp05023a

design of plasmonic dipole nanoantenna arrays, with the resonance frequency finely tuned at the amide I band of proteins.^{6,7,9}

In this work we fabricate and test an integrated MIR biosensor for protein detection from diluted pure protein solutions. A sensitivity down to the nanomolar concentration range is obtained by combining the strong field enhancement provided by plasmonic nanoantenna arrays with the superhydrophobic patterned surface – the molecules present in a 5 μ l solution droplet are directly conveyed on the sensing part of the device where the MIR optical beam is focused. Protein detection in a label-free and non-destructive fashion is demonstrated. Moreover, the achieved device sensitivity enabled the measurement of the secondary structures of the protein ferritin. The latter feature of our biosensor opens the way for the detection of subtle conformational changes of blood proteins that are often found at low concentrations in typically available blood samples. Therefore, the device discussed here, in combination with already available biochemical purification methods, can find its own field of application in the study of clinically relevant proteins, such as blood ferritin that has been very recently proposed as a relevant parameter for the early detection of Alzheimer's disease.^{18–21}

Material and methods

Device fabrication

The integrated mid-IR plasmonic device was fabricated by a two-step lithographic process. In the first step the fabrication of rod-shaped gold nanoantenna arrays with plasmonic resonances tuned at the amide I and/or II bands is performed. The second step consisted of the fabrication of a superhydrophobic frame, encircling the nanoantenna array, aimed at concentrating highly diluted samples directly on the array.

All the fabrication steps were carried out using a VISTEC EBPG-5HR, equipped with a field emission gun operating at 100 kV, allowing a resolution down to the 20 nm linewidth.

In detail, for each device a $400 \times 400 \mu\text{m}^2$ plasmonic gold nanoantenna array was first fabricated directly on a silicon substrate. A 450 nm-thick ZEP-520A resist layer was spun on the substrate surface, exposed to the e-beam and developed in oxylene to define a pattern including the nanoantenna array and a set of alignment markers.

A 30 nm chromium adhesion layer followed by a 140 nm-thick gold layer was then deposited by e-gun assisted evaporation. After lift-off in anisole, perfectly defined Cr/Au nanorod structures were formed. Fig. 1a shows a SEM image of one of the fabricated arrays, consisting of 1250 nm long, 210 nm wide and 150 nm thick nanorods, with a periodicity of 1650 nm.

A gold frame surrounding the nanoantenna array was also included in the pattern to avoid MIR radiation spillover outside the array (Fig. 1b). Then, a 1.2 μm thick layer of PMMA-950K-9% positive tone resist was spun on the substrate and patterned using e-beam lithography after re-alignment on the markers.

A 2D array of squares (5 μm side; 9 μm distance) and a square-shaped central region (side: 500 μm) containing the

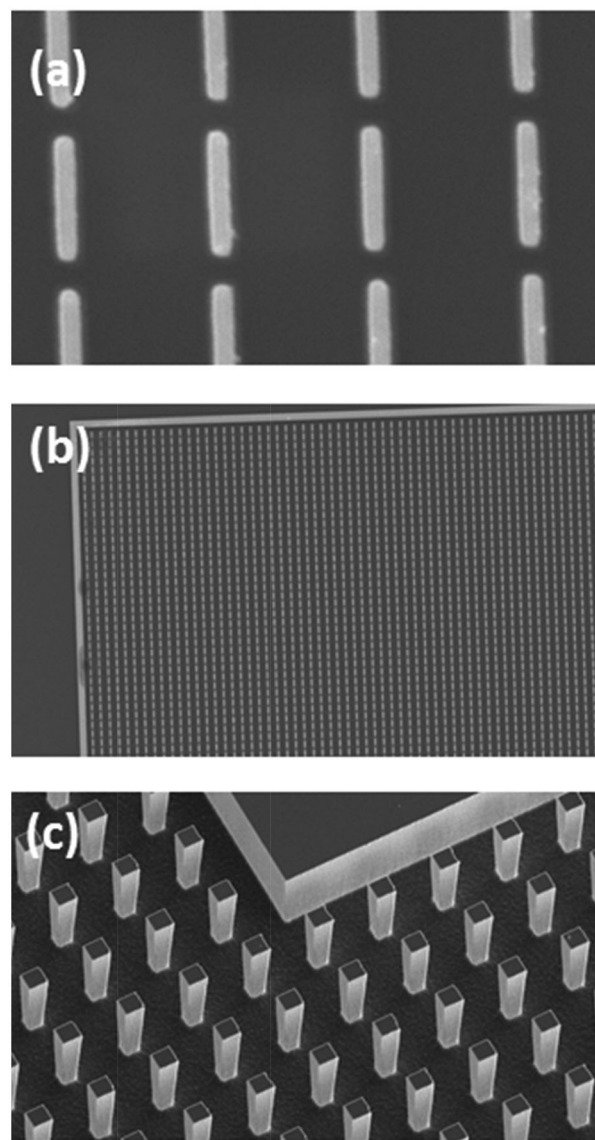


Fig. 1 Panel a and b: SEM micrographs of the fabricated periodic nanoantenna array, consisting of 1250 nm long, 210 nm wide and 150 nm high rods with a periodicity of 1650 nm. Panel c: SEM micrograph of the superhydrophobic patterned surface.

nanoantenna array are then transferred on the substrate by means of Inductively Coupled Plasma-assisted dry etching of the silicon substrate, using PMMA as a masking layer. The final etch depth was 22 μm , which created a field of square micropillars, encircling the central nanoantenna region, with an aspect ratio higher than 4, and a distance smaller than the pillar height. This microstructure, shown in Fig. 1c, is the prerequisite for the superhydrophobic behavior as explained below.

After cleaning in piranha solution ($\text{H}_2\text{SO}_4 : \text{H}_2\text{O}_2 = 3 : 1$), the device surface was silanized to impart the superhydrophobic behavior with 10% trimethylchlorosilane (TMCS, $(\text{CH}_3)_3\text{SiCl}$, FluKa, purchased from Sigma Aldrich (CAS number 75-77-4)) in toluene, kept in a nitrogen environment for 48 h, followed by rinsing in methanol and *n*-heptane.

The device was then spin coated with a layer of S1813 positive photoresist and the central region containing the nanoantennas was exposed to an i-line (365 nm) UV light source through an aligned photomask, and the resist was developed. The hydrophobic coating was then removed from the central region only by means of a Reactive Ion Etching process, which etches a few tens of nanometers of silicon from the silanized surface.

IR measurements

The transmittance and reflectance of the plasmonic nanostructures were measured by means of a reflective-objective microscope coupled to a FTIR spectrometer (Bruker IFS 66v/S). For both measurements, square apertures of $100\ \mu\text{m}$ side were used to illuminate with the IR beam only the internal part of the nanoantenna array, whose size of $400 \times 400\ \mu\text{m}^2$ was then in large excess of the illuminated area, so as to safely exclude radiation spillover. The reflectance was calculated using the ratio $R = I_{\text{sample}}^R / I_{\text{gold}}^R$, where I_{sample}^R is the intensity of radiation reflected by the sample and I_{gold}^R is the intensity reflected by a 150 nm-thick Au film evaporated on the same substrate. The transmittance was instead measured against a double-side polished Si wafer.

Linear-polarization dependent measurements were acquired by using a KRS-5 wire-grid polarizer (from Specac). Only reflectance measurements will be discussed, as the transmitted intensity was heavily suppressed by the back face roughness. This problem will be targeted in the next generation of devices.

Results and discussion

Active mass transport by means of superhydrophobic surfaces

An electron microscope image of the integrated plasmonic biosensor is shown in Fig. 2a. Three different regions formed by three concentric squares of decreasing size can be clearly distinguished: (i) a superhydrophobic region of $2.5 \times 2.5\ \text{mm}^2$ consisting of an array of square silicon pillars (height: $22\ \mu\text{m}$, width: $5\ \mu\text{m}$, pitch: $14\ \mu\text{m}$, empty space between pillars: $9\ \mu\text{m}$); (ii) a hydrophilic region of $500 \times 500\ \mu\text{m}^2$ which serves as an anchorage point for a solution droplet that is cast on the device; and (iii) a plasmonic nanoantenna array of $400 \times 400\ \mu\text{m}^2$, which provides electromagnetic field enhancement in the spectral region of the amide I and II bands. Fig. 2b to e show the behavior of a $5\ \mu\text{l}$ droplet deposited on our device – the anchorage region binds the drop, allowing its deposition in a well-defined position (Fig. 2b).

At this stage, the drop assumes a contact angle of $146^\circ \pm 5^\circ$ (more details can be found in the ESI†). During evaporation, the drop reduces in volume while maintaining its quasi-spherical shape. This is made possible by the peculiar geometry of the patterned surface.

The superhydrophobic region is indeed specifically designed to be wetted in the Cassie–Baxter state.

This suspended configuration allows the evaporating drop edge to slide above pillars maintaining its initial shape. Under evaporation, the solution becomes more and more concentrated maintaining, at the same time, a good on-target confinement

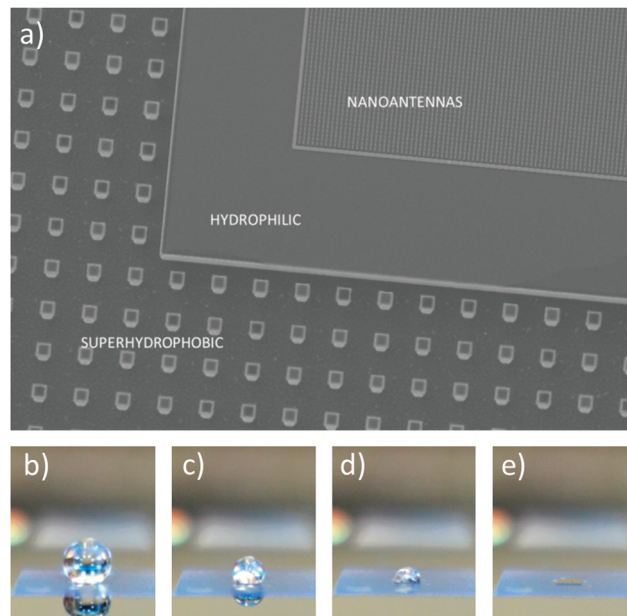


Fig. 2 Panel a: SEM micrograph of the fabricated device. The periodic array of pillars – that induces the superhydrophobic behavior – and the square shaped hydrophilic pad containing the nanoantennas can be clearly recognized. Panel b–e: behavior of a 5 microliter droplet deposited on our device under controlled evaporation conditions.

(Fig. 2c and d). Further details on the droplet dynamics under evaporating conditions can be found in ref. 22 and 23. At the end of the process, the drop collapses (Fig. 2e) depositing the solute within the hydrophilic region containing the sensing plasmonic surface. The concentration effect provided by this method allows us to actively convey few molecules directly on the sensing area where the MIR optical beam is focused (see the sketches in Fig. 4a and b).

Detection of ferritin at the femtomole level

In Fig. 3 the reflectance spectrum of one of the fabricated nanoantenna arrays is shown. The effect of the polarization direction of the electric field vector of the incident radiation is apparent in Fig. 3a. For an electric field polarized parallel to the long axis of the rod shaped antennas ($E_{\parallel}$), a broad reflectance peak centered at $1660\ \text{cm}^{-1}$ and about $400\ \text{cm}^{-1}$ wide at the half peak height can be clearly observed.

Conversely, for an electric field polarized perpendicular to the rod-shaped antennas, the plasmonic resonance is not excited in the spectral range of interest. The peak in the $E_{\parallel}$ reflectance spectrum of Fig. 3a is due to the resonant plasmonic excitation of the nanoantennas and it is an indication of field enhancement by a factor around 100 in a region of few tens of nanometers at the gold surface.^{6,7}

A resonance Q factor ($Q = \nu_0/\Delta\nu$) of 3.9 ± 0.2 has been calculated by means of a Lorentzian fit. The resonance peak shown in Fig. 3a is centered at $1600\ \text{cm}^{-1}$, exactly between the amide I and II vibrational absorption bands of proteins. To obtain this fine spectral tuning, several nanoantenna arrays have been realized by varying both the periodicity of the array

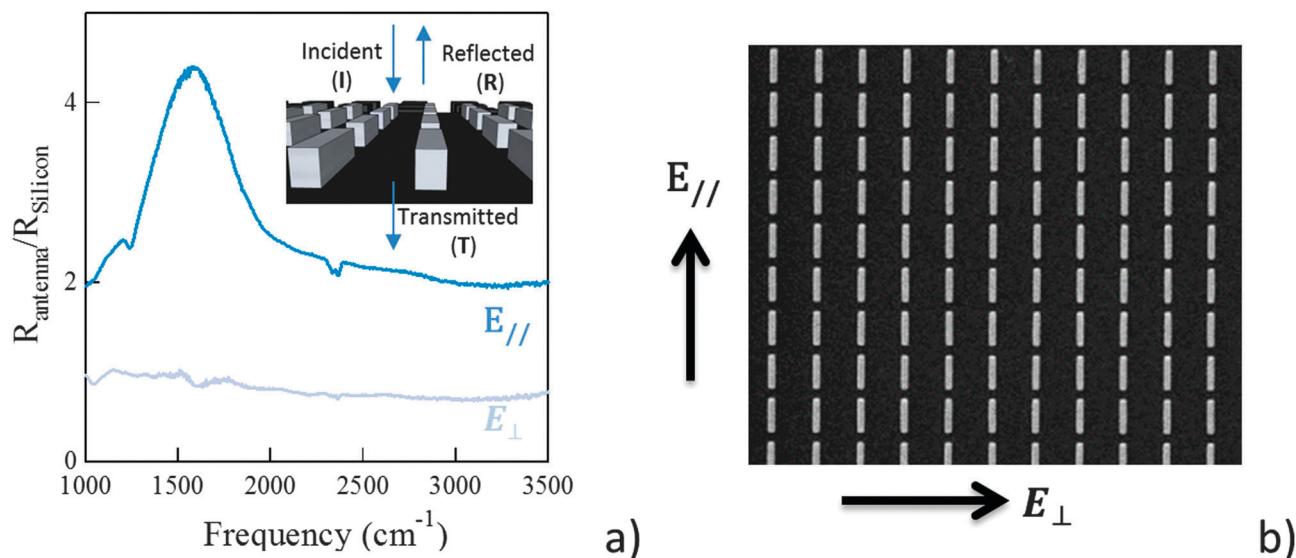


Fig. 3 Panel a: reflectance spectra of the nanoantenna array for two different polarization directions, parallel ($E_{//}$) and orthogonal ($E_{\perp}$) to the long axis of the rod shaped antennas. Panel b: SEM micrograph of the measured nanoantenna array that highlights the electromagnetic field direction.

and the length of the gold nanorod. As expected, when all the design dimensions were rescaled proportionally to a factor C , we verified a linear scaling with C of the resonance peak frequency in the range $1550\text{--}2000\text{ cm}^{-1}$.⁹

Taking advantage of the strong field enhancement at the gold surface which produces the resonance peak of Fig. 3a,^{6,7} we investigated the capability of our device to detect small amounts of proteins.

A schematic view of the measurement geometry is shown in Fig. 4a and b.

FTIR measurements are acquired by using an infrared microscope at normal incidence collecting the reflected light (see Methods).

Apoferitin is a globular protein composed of 24 subunits assembled in a large spherical complex, which has been used for testing the present device. Given its well-defined, robust and dominantly α -helical secondary structure content, apoferritin was ideally suited for this purpose.

Each apoferritin subunit is indeed composed of six α -helices and only one β -turn, so it should provide an amide I vibrational absorption line shape predominantly centered at 1658 cm^{-1} (while a fully β -sheet structure would give an amide I peak centered at 1625 cm^{-1}).²¹

We prepared a 2 nM apoferritin solution dissolved in $0.1\times$ PBS (Phosphate Buffer Solution containing 0.8 g of NaCl , 0.02 g of KCl , 0.26 g of Na_2HPO_4 and 0.027 g of KH_2PO_4 per liter) and we deposited a $5\text{ }\mu\text{L}$ droplet on the device. To distinguish whether spectral signatures come from the buffer or from proteins, a $5\text{ }\mu\text{L}$ PBS-only drop was also deposited on an identical device.

The protein solution drop contains about 10 femtomoles of proteins. According to the above discussion, such an amount of protein is actively conveyed directly on the sensing surface of the device, thanks to the controlled evaporation dynamics imposed by the superhydrophobic surface.

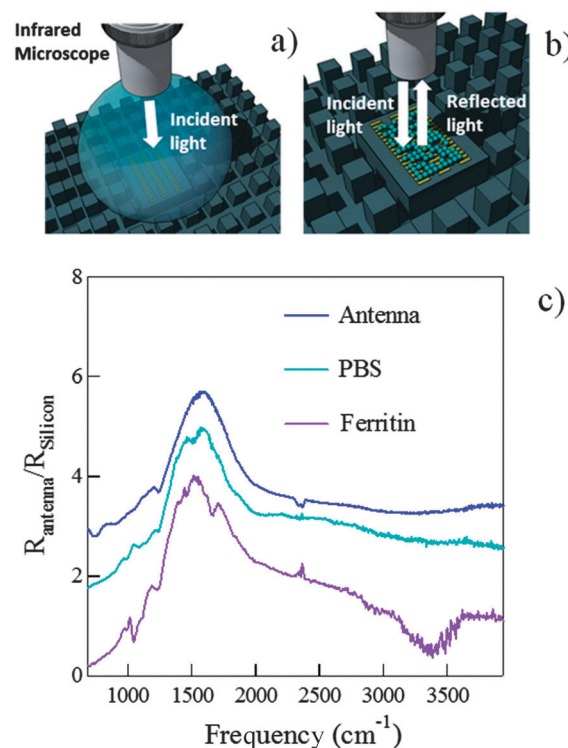


Fig. 4 Panel a and b: schematic view of the measurement geometry. An infrared microscope is used to focus the incident light directly on the hydrophilic pad containing the nanoantennas. After evaporation of the drop, reflected light is collected. Panel c: reflectance spectra of the bare nanoantenna array (blue continuous line), the buffer solution without proteins (cyan continuous line) and a solution containing apoferritin (initial concentration 0.001 mg mL^{-1}). All spectra have been acquired in a dry environment by using the electric field polarization direction parallel to the nanoantenna axis.

Assuming a homogeneous distribution of molecules on the hydrophilic pad, we estimate the deposition of a 200 nm thick layer of apoferritin adsorbed on the sensing part of the device.

In Fig. 4c three reflectance spectra are displayed: (i) the reflectance of the bare nanoantenna array showing the plasmonic resonance (blue continuous line); (ii) the reflectance spectrum of the PBS buffer after complete solvent evaporation (cyan continuous line); and (iii) the reflectance spectra of the protein solution, again, after complete solvent evaporation (violet continuous line). All spectra have been acquired by using an electric field polarization direction along the axis of the rod-shaped antennas ($E_{\parallel}$). A dip absorption peak at 1658 cm^{-1} can be clearly observed in the third curve. This spectral signature is absent in the other curves and, therefore, it can be ascribed to the deposited apoferritin layer. The signal response to various amounts of ferritin in the 10–100 femtomole range has been reported in the ESI† (Fig. S3).

In Fig. 5 the corresponding absorption spectrum is reported. This was computed by assuming a double-transmission geometry of the protein layer through the relation $A = -\log_{10}(R_{\text{sample}}/R_{\text{silicon}})$ derived from the Lambert–Beer law. Due to both the strong assumptions and the inhomogeneous radiation electric field distribution, the absorbance is proportional to the absorption coefficient only, and no quantitative information can be retrieved from Fig. 5.

Clear spectral signatures can be observed in the amide I and II spectral region.

A feature of note is the presence of a pronounced maximum in the absorption intensity centered at 1658 cm^{-1} . This maximum can be assigned to the vibrational modes of α -helical structures, in agreement with the expected secondary structure content of apoferritin. Measurements performed on identical devices show excellent repeatability.

It is worth stressing that the signal-to-background absorption ratio achieved in our device due to the combined use of plasmonics and superhydrophobicity allows clear identification of the spectral signatures of 10 femtomoles of protein even without subtraction of the background reflectance.

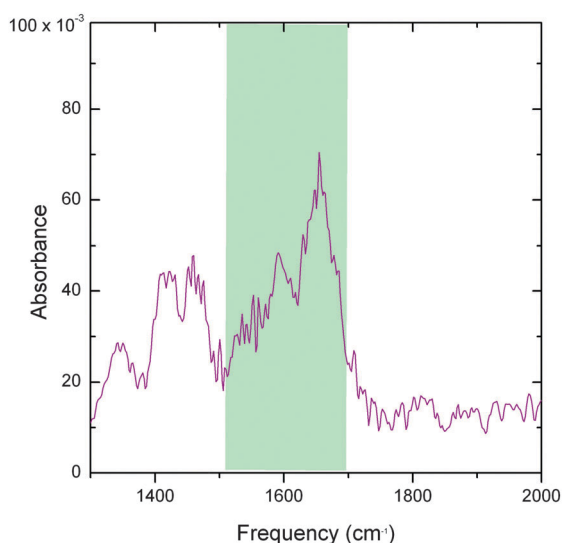


Fig. 5 Measured absorbance spectrum in the amide I and II spectral regions of the apoferritin solution after drying. The units of the left axis are arbitrary. The color-shaded region highlights the amide I and II range.

We estimate the presence of 6×10^9 molecules in our 10 femtomole sample (4.5 ng of protein) that corresponds to about 2.6×10^{13} peptide bonds. These numbers are large when compared to those reported in ref. 6, where a plasmonic sensor similar to that presented here is described. In this paper authors estimate that absorption arises from an amount of 1×10^{-3} ng of silk fibroin *i.e.* about 300 zeptomoles. However, device sensitivity is calculated by considering only the detection volume in the close vicinity of the nanorod tips. A similar calculation can be performed for our device. In our case absorption arises from a $100\text{ }\mu\text{m} \times 100\text{ }\mu\text{m}$ area in the focal plane defined by the microscope aperture, similar to the case reported in the above-mentioned paper. The physical gold structure of the nanoantennas covers about 30% of this area and we shall take this value as a measure of the sensor cross-section, although the filling fraction of the plasmonic hotspots is even smaller. Taking into account that ferritin forms a 200 nm-thick film, we end up in an effective interaction volume of $1.8 \times 10^{11}\text{ nm}^3$. This volume contains about 8×10^7 ferritin molecules *i.e.* ~ 0.14 femtomoles and $\sim 3.3 \times 10^{11}$ peptide bonds.

These values are comparable to those reported in ref. 6; even slightly lower as far as moles are concerned. However, this difference is likely to be ascribed to the higher molecular weight of ferritin with respect to the silk protein, rather than to higher device sensitivity.

Although our device allows protein detection through the identification of specific vibrational modes with sensitivity down to about 10 femtomoles, nevertheless, higher amounts are required to perform a detailed spectral analysis of the amide I band. This task can be achieved by measuring 100 femtomoles of proteins dissolved in a $5\text{ }\mu\text{l}$ drop, which corresponds to an initial protein concentration of 0.01 mg ml^{-1} . In the ESI† we present the analysis of the amide I absorption band by Gaussian curve-fitting together with second derivative methods.^{24–28} The recovered secondary structures are consistent with those expected for a ferritin sample under folding conditions. The present results clearly point out that our integrated nanosensor can be used for protein detection and secondary structure identification down to the femtomole range. However, a more in-depth study is required to assess the extent of the secondary structure modifications that may occur during the evaporation process in the case of proteins that are particularly sensitive to the hydration shell. Therefore particular care must be taken when using our device to measure this class of proteins.

Conclusions

In this paper we fabricate and test an integrated biosensor capable to provide good-quality FTIR absorbance spectra down to the femtomole range. The fluidic transport is obtained by engineering superhydrophobic surfaces, overcoming the inherent limitations of passive transport. Our device has the potential to measure the secondary structure content of proteins under study, a feature not available, for example, in refractive-index plasmonic sensors operating in the UV-Vis

range that probe mass accumulation in the presence of a substance-specific capture layer but cannot provide structural information on the measured samples.

A major improvement in the sensitivity of the FTIR technique to small concentration levels of proteins in solution below the micromolar range is offered by the joint use of plasmonic nanostructures designed to have electric field enhancement in the amide I and II spectral range, *i.e.* 1500–1700 cm⁻¹.

Apoferitin, a spherical protein complex that has its main function in cellular iron storage, has been used for testing the device for its high content of stable α -helical structures. Our device was successfully used to both demonstrate the detection of about 10 femtomoles of protein and clearly distinguish spectral signatures of the apoferitin α -helical structures. Plasma ferritin concentration in blood can indeed vary from less than 10 $\mu\text{g l}^{-1}$ to 1–10 mg l⁻¹ in response to various clinical conditions. A single blood sample contains a ferritin amount ranging between few tens of femtomoles and a few picomoles. Therefore the achieved device sensitivity allows the detection of ferritin and the determination of its secondary structure content directly from a single blood sample. This is particularly important since elusive conformational modifications of plasma ferritin have been proposed as a relevant parameter for the early detection of Alzheimer's disease. Therefore, the proposed technology may boost the development of novel diagnostic tools able to detect subtle conformational changes in blood proteins that provide high value clinical information but are available in small amounts in typical blood samples. However, it is worth stressing here that the described device, as well as similar devices based on MIR spectroscopy, requires the use of purified protein solutions and therefore must be used in combination with existing biochemical purification methods. This is especially true in the above-mentioned case of serum ferritin, since blood contains a large number of more abundant protein molecules with similar secondary structure content, such as albumin.

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